

## Sierra Vista City Council

Meeting Agenda February 10, 2022

#### Call to Order

5:00 p.m., City Hall Council Chambers, 1011 N. Coronado Drive, Sierra Vista, Arizona

#### Roll Call

**Invocation – Pastor Randy Youngblood, Thunder Mountain Church** 

#### Pledge of Allegiance

Item 1 Acceptance of the Agenda

City Manager's Report: Upcoming Meetings, Bid Openings and Bid Awards

#### **Awards and Presentations:**

Presentation of the John J. DeBolske Professional Excellence Award by the Arizona City/County Management Association to Charles Potucek

Water Wise Youth's Poster Contest for 2020 and 2021

#### **Public Hearings**

**Item 2** Discussion and Possible Action of Resolution 2022-004, Series 9 liquor license application for an interim permit, owner transfer, limited liability company-type of ownership for Michael Joseph Basha on behalf of Raley's Arizona LLC dba Food City at 85 S. Highway 92, Sierra Vista, Arizona

**Item 3** Discussion and Possible Action of Resolution 2022-005, a location transfer of a Series 6 limited liability-type liquor license for Wacey Austin Barta on behalf of DUB Ventures LLC dba Punchy's Tavern, 1939 Frontage Road, Suite A, Sierra Vista, Arizona

#### **New Business**

**Item 4** Discussion and Possible Action of the Regular City Council Meeting Minutes of January 13, 2022

#### Call to the Public

### **Comments and Requests of the Council**

### Adjournment

For special needs and accommodations, please contact Jill Adams, City Clerk, 72 hours prior to the meeting or activity at (520) 458-3315 or through the Arizona Relay Service at 1-800-367-8939, or by simply dialing 7-1-1.

January 7, 2022

MEMORANDUM TO: Honorable Mayor and City Council

THRU: Charles P. Potucek, City Manager

FROM: Jill Adams, City Clerk

SUBJECT: REQUEST FOR AGENDA ITEM PLACEMENT

Resolution 2022-004, Series 9 liquor license application for an interim permit, owner transfer, limited liability co.-type of ownership for Michael Joseph Basha on behalf of Raley's Arizona LLC dba Food

City at 85 S. Highway 92, Sierra Vista, Arizona

## **RECOMMENDATION:**

The City Manager recommends approval of this license. The City Clerk recommends approval of this license.

### **INITIATED BY:**

Michael Joseph Basha PO Box 488 Chandler, Arizona 85224

### BACKGROUND:

The City received an application for a Series 9 liquor license application, an interim permit, owner transfer, limited liability co.-type of ownership for Michael Joseph Basha on behalf of Raley's Arizona LLC dba Food City. The liquor store (series 9) license is a "quota" license available only through the Liquor License Lottery or for purchase on the open market. Once issued, the liquor license is transferable from person to person and/or location to location within the same county and allows a spirituous liquor store retailer to sell all types of spirituous liquors, only in the original unbroken package, to be taken away from the premises of the retailer and consumed off the premises at 85 S. Highway 92, Sierra Vista, Arizona.

As dictated by State law, a notice of public hearing was posted on the premises for 20 days prior to the public hearing and to date, no responses, either in favor or against, have been received. The Police Department has performed a background investigation and has given its approval for this license. The Council's decision concerning this liquor license application will be forwarded to the State Department of Liquor Licenses and Control, who will then issue the liquor license if no objections were received.

### **BUDGET APPROPRIATION:**

Not applicable.

#### RESOLUTION 2021-004

A RESOLUTION OF THE MAYOR AND CITY COUNCIL OF THE CITY OF SIERRA VISTA. COCHISE COUNTY, ARIZONA: **REAFFIRMING** SETTLED POLICY BY RECOMMENDING APPROVAL OF SERIES 9 LIQUOR LICENSE APPLICATION FOR MICHAEL JOSEPH BASHA ON BEHALF OF RALEY'S ARIZONA LLC DBA FOOD CITY AT 85 S. HIGHWAY 92, SIERRA VISTA, ARIZONA: TO THE STATE DEPARTMENT OF LIQUOR LICENSES AND CONTROL: AND AUTHORIZING AND DIRECTING THE CITY MANAGER, CITY CLERK, CITY ATTORNEY OR THEIR DULY AUTHORIZED OFFICERS AND AGENTS TO TAKE ALL STEPS NECESSARY TO CARRY OUT THE PURPOSES AND INTENT OF THIS RESOLUTION.

WHEREAS, a Series 9 liquor license application for an interim permit, owner transfer, limited liability co.-type of ownership for Michael Joseph Basha on behalf of Raley's Arizona LLC dba Food City at 85 S. Highway 92, Sierra Vista, Arizona has been filed with the Arizona Department of Liquor Licenses and Control; and

WHEREAS, Arizona Revised Statutes §4-112 requires local municipalities to grant approval or disapproval of all liquor licenses being applied for within their jurisdiction; and

WHEREAS, the application has been posted on the premises of the business for twenty (20) days as required by State law; and

WHEREAS, it is the settled policy of the City Council that liquor licenses be recommended for approval if no objections are raised.

NOW, THEREFORE, BE IT RESOLVED BY THE MAYOR AND CITY COUNCIL OF THE CITY OF SIERRA VISTA, ARIZONA, AS FOLLOWS:

### SECTION 1

The City Council reaffirms its settled policy on liquor licenses within City limits.

## SECTION 2

The City Council of the City of Sierra Vista recommends approval of Series 9 liquor license application for an interim permit, owner transfer, limited liability co.-type of ownership for Michael Joseph Basha on behalf of Raley's Arizona LLC dba Food City at 85 S. Highway 92, Sierra Vista, Arizona, to the State Department of Liquor Licenses and Control.

RESOLUTION 2022-004 PAGE ONE OF TWO

## **SECTION 3**

The City Manager, City Clerk, City Attorney, or their duly authorized officers and agents, are hereby authorized and directed to take all steps necessary to carry out the purposes and intent of this Resolution.

PASSED AND ADOPTED BY THE MAYOR AND CITY COUNCIL OF THE CITY OF SIERRA VISTA, ARIZONA, THIS  $10^{\mathrm{TH}}$  DAY OF FEBRUARY 2022.

	Frederick W. Mueller Mayor
Approved as to Form:	Attest:
Nathan J. Williams City Attorney	Jill Adams City Clerk
Prepared By: Jill Adams, City Clerk	

Department

nd Control

Create

3 AM

## Local Governing Body Report

## **LICENSE**

Number:

09020058

Type:

Expiration Date:

009 LIQUOR STORE

06/30/2022

Name:

FOOD CITY # 111

State:

Pending

Issue Date:

Original Issue Date:

03/26/1979

Location:

85 S HWY 92

SIERRA VISTA, AZ 85635

USA

Mailing Address:

PO BOX 488

CHANDLER, AZ 85224

USA

Phone:

(520)439-6080 (480)940-6722

Alt. Phone: Email:

MBASHA@BASHAS.COM

Currently, this license has pending applications.

## **AGENT**

Name:

MICHAEL JOSEPH BASHA

Gender:

Male

Correspondence Address: PO BOX 488

CHANDLER, AZ 85224

USA

Phone:

(480)940-6722

Alt. Phone:

Email:

MBASHA@BASHAS.COM

## **OWNER**

Name:

RALEY'S ARIZONA LLC

Contact Name:

MICHAEL JOSEPH BASHAA

Type:

LIMITED LIABILITY COMPANY

AZ CC File Number:

23250789

State of Incorporation: AZ

Incorporation Date:

07/22/2021

Correspondence Address: PO BOX 488

CHANDLER, AZ 85224

USA

Phone:

(480)940-6722

Alt. Phone:

Email:

MBASHA@BASHAS.COM

Officers / Stockholders

Name:

RALEY'S HOLDING COMPANY

KENNETH CARROL MUELLER HELEN SOFIA SINGMASTER

KEITH EDWARD KNOPF

Title:

MEMBER

% Interest: 100.00

CFO

Secretary

PRESIDENT, CEO

# RALEY'S ARIZONA LLC - Secretary RALEY'S HOLDING COMPANY - Secretary

Name:

HELEN SOFIA SINGMASTER

Gender:

Female

Correspondence Address: PO BOX 488

CHANDLER, AZ 85224

USA

Phone:

(916)373-6394

Alt. Phone:

Email:

HSINGMAS@RALEYS.COM

## RALEY'S ARIZONA LLC - PRESIDENT, CEO RALEY'S HOLDING COMPANY - PRSEIDENT, CEO

Name:

KEITH EDWARD KNOPF

Gender:

Male

Correspondence Address: PO BOX 488

CHANDLER, AZ 85224

USA

Phone:

(262)951-6862

Alt. Phone:

Email:

KKNOPF@RALEYS.COM

## RALEY'S ARIZONA LLC - CFO RALEY'S HOLDING COMPANY - CFO

Name:

KENNETH CARROL MUELLER

Gender:

Male

Correspondence Address: PO BOX 488

CHANDLER, AZ 85224

USA

Phone:

(916)479-3924

Alt. Phone:

Email:

KMUELLER@RALEYS.COM

## RALEY'S ARIZONA LLC - MEMBER

Name:

RALEY'S HOLDING COMPANY

Contact Name:

MICHAEL JOSEPH BASHA

Type:

CORPORATION

AZ CC File Number:

State of Incorporation:

Incorporation Date:

Correspondence Address: PO BOX 488

CHANDLER, AZ 85224

USA

Phone:

(480)940-6722

Alt. Phone:

Email:

MBASHA@BASHAS.COM

RALEY'S HOLDING COMPANY -

Name:

MICHAEL J. TEEL SEPERATE PROPERTY TRUST

Contact Name:

MICHAEL JOSEPH BASHA

Type:

**TRUST** 

AZ CC File Number:

State of Incorporation:

Incorporation Date:

Correspondence Address: PO BOX 488

CHANDLER, AZ 85244

USA

Phone:

(480)940-6722

Alt. Phone:

Email:

MBASHA@BASHAS.COM

# MICHAEL J. TEEL SEPERATE PROPERTY TRUST - TRUSTEE

Name:

MICHAEL JAMES TEEL

Gender:

Male

Correspondence Address: PO BOX 488

CHANDLER, AZ 85244

USA

Phone:

(916)373-3333

Alt. Phone:

Email:

MTEEL@RALEYS.COM

## **MANAGERS**

Name:

JENNIFER KALEST THEEL WARNER

Gender:

Female

Correspondence Address: PO BOX 488

CHANDLER, AZ 85224

USA

Phone:

(503)477-3307

Alt. Phone:

Email:

JWARNER3@RALEY.S.COM

\*

Name:

KENNETH CARROL MUELLER

Gender:

Male

Correspondence Address: PO BOX 488

CHANDLER, AZ 85224

USA

Phone:

(916)479-3924

Alt. Phone:

Email:

KMUELLER@RALEYS.COM

\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

Name:

KEITH EDWARD KNOPF

Gender:

Correspondence Address: PO BOX 488

CHANDLER, AZ 85224

USA

Phone:

(262)951-6862

Alt. Phone:

Email:

KKNOPF@RALEYS.COM

## APPLICATION INFORMATION

Application Number:

169803

Application Type:

Owner Transfer

Created Date:

11/19/2021

## **QUESTIONS & ANSWERS**

## 009 Liquor Store

Are you applying for an Interim Permit (INP)? 1)

A Document of type INTERIM PERMIT (INP) NOTARY PAGE is required.

Does the Business location address have a street address for a City or Town but is actually in the 4) boundaries of another City, Town or Tribal Reservation?

Did the Premises phone number change? 8)

10) Provide name, address, and distance of nearest school and church. (If less than one (1) mile note footage)

> Nearest School: Berean Pre-School, 4699 AZ-90, Sierra Vista, AZ 85635 - 1,685 feet Nearest Church: First Christian Church, 55 Kings Way, Sierra Vista, AZ 85635 - 976 feet

11) Are you one of the following? Please indicate below.

Property Tenant

Subtenant

Property Owner

Property Purchaser

Property Management Company

Property Tenant

12) Is there a penalty if lease is not fulfilled?

Yes

What is the penalty?

Standard contract remedies for damages against the tenant.

13) What is the total money borrowed for the business not including the lease?

Please list lenders/people owed money for the business.

Total for Entire Consolidated Business Operations of Raley's Holding Company: \$500,000,000 (Wells Fargo Bank, NA, 400 Capitol Mall, Suite 700, Sacramento, CA 95814)

14) Is there a drive through window on the premises?

No

15) If there is a patio please indicate contiguous or non-contiguous within 30 feet

NA

16) Is your licensed premises now closed due to construction, renovation or redesign or rebuild?

No

23) Total Price paid for Series 6 Bar, Series 7 Beer & Wine Bar or Series 9 Liquor Store (license only) NA

## **DOCUMENTS**

DOCUMENT TYPE	FILE NAME	UPLOADED DATE
ALIEN STATUS	Alien Status Form - Michael Basha - Executed.pdf	11/19/2021
ALIEN STATUS	Alien Status Form - Michael Teel - Executed.pdf	11/19/2021
MISCELLANEOUS	Bill of Sale - Executed.pdf	11/19/2021
ORGANIZATIONAL DOCUMENTS	Filed and Approved Articles of Organization for Raley's Arizona LLC 4836-6730-6483_1.pdf	11/19/2021
INTERIM PERMIT (INP) NOTARY PAGE	Interim Permit Notary Page - Food City #111 - License No. 09020058.pdf	11/19/2021
MISCELLANEOUS	Organizational Chart.pdf	11/19/2021
QUESTIONNAIRE	Questionnaire - Helen Singmaster - Food City #111 (License No. 09020058).pdf	d11/19/2021
QUESTIONNAIRE	Questionnaire - Jennifer Warner - Food City #111 (License No. 09020058).pdf	11/19/2021
QUESTIONNAIRE	Questionnaire - Keith Knopf - Food City #111 (License No. 09020058).pdf	711/19/2021
QUESTIONNAIRE	Questionnaire - Kenneth Mueller - Food City #111 (License No. 09020058).pdf	11/19/2021
QUESTIONNAIRE	Questionnaire - Michael Basha - Food City #111 (License No. 09020058).pdf	11/19/2021
QUESTIONNAIRE	Questionnaire - Michael Teel - Food City #111 (License No. 09020058).pdf	11/19/2021

MISCELLANEOUS

Training Certificates - Michael 11/19/2021 Basha.pdf

DIAGRAM/FLOOR PLAN

Site Plan - Food City #111 - License No. 11/22/2021 09020058.pdf

## **Organizational Chart**

Michael James Teel, Trustee of the Michael J. Teel Separate Property Trust dated January 12, 2001 for the benefit of Michael James Teel

Owner of 100% of Voting Stock of Raley's Holding

## Raley's Holding Company

Officers: Keith Edward Knopf (President and Chief Executive Officer), Kenneth Carrol Mueller (Chief Financial Officer), Helen Sofia Singmaster (Secretary)

Sole Member and Owner of 100% of Raley's Arizona LLC

## Raley's Arizona LLC

Managers: Keith Edward Knopf, Kenneth Carrol Mueller, Jennifer Kalest Theel Warner

Officers: Keith Edward Knopf (President and Chief Executive Officer), Kenneth Carrol Mueller (Chief Financial Officer), Helen Sofia Singmaster (Secretary)

Name (Last, First, Middle)	Title	Mailing Address (City, State, Zip)
Teel, Michael James	Owner	500 West Capitol Avenue, West Sacramento, CA 95605
Knopf, Keith Edward	President and Chief Executive Officer (Raley's Holding Company)  Manager, President, and Chief Executive Officer (Raley's Arizona LLC)	500 West Capitol Avenue, West Sacramento, CA 95605
Mueller, Kenneth Carrol	Chief Financial Officer (Raley's Holding Company)  Manager and Chief Financial Officer (Raley's Arizona LLC)	500 West Capitol Avenue, West Sacramento, CA 95605
Warner, Jennifer Kalest Theel	Manager (Raley's Arizona LLC)	500 West Capitol Avenue, West Sacramento, CA 95605
Singmaster, Helen Sofia	Secretary (Raley's Holding Company, Raley's Arizona LLC)	500 West Capitol Avenue, West Sacramento, CA 95605

## **BILL OF SALE**

IN CONSIDERATION OF THE SUM OF:

\*\*\*TEN DOLLARS AND NO CENTS\*\*\* lawful currency of the Unites States of America, and other good and valuable consideration, receipt of which is hereby acknowledged, the SELLER:

BASHAS' INC., an Arizona corporation, hereby grants, bargains, sells, and transfers unto the BUYER:

RALEY'S ARIZONA LLC, an Arizona limited liability company, and its successors or assigns, to have and to hold forever, the following described personal property, goods and chattels:

Those certain Arizona liquor licenses set forth in Exhibit A hereto.

FURTHERMORE, Seller warrants that it is the lawful owner of said goods and hereby certifies, under oath, that it has good right to sell the same as aforesaid, and that the above described property is free and clear of all claims, liens, and other encumbrances whatsoever. Seller further agrees to warrant and defend same against the lawful claims and demands of all persons whomsoever.

Bashas' Inc., an Arizona corporation

Edward Najeeb Basha III, President

STATE OF ARIZONA

DATED: October 14, 2021

COUNTY OF Maricopa

The foregoing instrument was acknowledged before me, a notary public, this 14th day of <u>October</u>, 2021, by Edward Najeeb Basha III, as President of Bashas' Inc., an Arizona corporation.

Vich. S. Hemming Notary Public



Exhibit A

## Arizona Liquor Licenses

Liquo	or License Number
09070044	
09070478	
09110051	
09070033	
09070032	San Taran Maria Mari
09070039	
09070034	
09070741	
09070027	
09030045	
09110023	
09070045	
09070046	
09130003	
09080004	
09130004	
09050015	
09070652	
09070691	
09070121	
09070759	
09070052	
09070038	
09130005	
09070054	
09100144	
09090005	
09040033	
09070260	
09070666	
09120003	
09070031	
07070156	
09070258	
09070253	
07070287	
09150005	

Liquor License Number	
09070271	***************************************
09030017	
09100231	
09100028	
09070042	2000
09070354	
09100215	
09100030	
9110056	
09110052	
09074000	
07070774	
09070387	
09090037	
09070259	
07070095	
09070342	
09130018	
09060012	
09070700	
09070644	
09070415	
09070003	
09100131	
09070395	
09070651	
09070737	
09070255	
09070269	
09080019	
09110004	
09070473	
09020058	
09070037	
09140038	
09080003	
09079018	****
09079017	
09070030	***************************************
09010024	

Lic	juor License Number
071030	001
091090	002
090706	556
091400	004
091400	)46
090700	060
090703	74
090701	.17
091002	25
090701	.19
091002	33
090702	39
091000	21
090703	72
090704	04
090706	16
090706	32
090706	31
090706	43
090706	47
090707	05
091000	32
090701	32
090706	60
090706	59
091000	88
090704	59
090706	18
091002	42
091000	35
090700	15
090700	35
070736	03



Arizona Department of Liquor Licenses and Control 800 W Washington 5th Floor Phoenix, AZ 85007-2934 www.azliquor.gov (602) 542-5141

Interim Permit (INP) Notary Page

## FOR DLLC USE ONLY

INP number:	neonn
108020016491	22.50
Date Approved:	
12-14-2021	
Expiration:	
3-29-2022	
CSR:	-
AS	
Fee: \$100.00	nnone

## For approval of an interim permit:

- There must be a valid license of the same series issued to the current location you are applying for, OR
- A Hotel/Motel license is being replaced with a restaurant license pursuant to A.R.S.§4-203.01(A)

Enter license number currently at the location:  $\underline{09020058}$ 

NOT	TARY
or Controlling Person on the stated license and location.	hereby declare that I am the Individual Owner, Agent,
Signature:	tate ofCounty OFCOUNT
My Commission Expires on: 6 24 24	Day Day of October 2020
MARILYN CHAPMAN Notary Public - State of Arizona MARICOPA COUNTY Commission # 582792 Expires June 24, 2024	Mary Chapman Signature of Notary

2. Is the license currently in use? ✓ Yes ☐ No If no, how long has it been out of use? \_\_\_\_\_

## State of Arizona Department of Liquor Licenses and Control

Created 12/14/2021 @ 10:38:22 AM

Local Governing Body Report

## LICENSE

Number:

INP020016491

Type:

**Expiration Date:** 

INP INTERIM PERMIT

03/29/2022

Name:

FOOD CITY # 111

State:

Active

12/14/2021

Issue Date: Original Issue Date:

12/14/2021

Location:

85 S HWY 92

SIERRA VISTA, AZ 85635

USA

Mailing Address:

PO BOX 488

CHANDLER, AZ 85224

USA

Phone:

(520)439-6080

Alt. Phone:

(480)940-6722

Email:

MBASHA@BASHAS.COM

## AGENT

Name:

MICHAEL JOSEPH BASHA

Gender:

Male

Correspondence Address: PO BOX 488

CHANDLER, AZ 85224

USA

Phone:

(480)940-6722

Alt. Phone:

Email:

MBASHA@BASHAS.COM

## **OWNER**

Name:

RALEY'S ARIZONA LLC

Contact Name:

MICHAEL JOSEPH BASHAA

Type:

LIMITED LIABILITY COMPANY

AZ CC File Number:

23250789

State of Incorporation: AZ

Incorporation Date:

07/22/2021

Correspondence Address: PO BOX 488

CHANDLER, AZ 85224

USA

Phone:

(480)940-6722

Alt. Phone:

Email:

MBASHA@BASHAS.COM

Officers / Stockholders

Name:

RALEY'S HOLDING COMPANY KENNETH CARROL MUELLER HELEN SOFIA SINGMASTER

KEITH EDWARD KNOPF

Title:

% Interest:

100.00

**MEMBER** 

**CFO** 

Secretary

PRESIDENT, CEO

## RALEY'S ARIZONA LLC - Secretary RALEY'S HOLDING COMPANY - Secretary

Name:

HELEN SOFIA SINGMASTER

Gender:

Female

Correspondence Address: PO BOX 488

CHANDLER, AZ 85224

USA

Phone:

(916)373-6394

Alt. Phone:

Email:

HSINGMAS@RALEYS.COM

## RALEY'S ARIZONA LLC - PRESIDENT, CEO RALEY'S HOLDING COMPANY - PRSEIDENT, **CEO**

Name:

KEITH EDWARD KNOPF

Gender:

Male

Correspondence Address: PO BOX 488

CHANDLER, AZ 85224

USA

Phone:

(262)951-6862

Alt. Phone:

Email:

KKNOPF@RALEYS.COM

## RALEY'S ARIZONA LLC - CFO RALEY'S HOLDING COMPANY - CFO

Name:

KENNETH CARROL MUELLER

Gender:

Male

Correspondence Address: PO BOX 488

CHANDLER, AZ 85224

USA

Phone:

(916)479-3924

Alt. Phone:

Email:

KMUELLER@RALEYS.COM

## RALEY'S ARIZONA LLC - MEMBER

Name:

RALEY'S HOLDING COMPANY

Contact Name:

MICHAEL JOSEPH BASHA

Type:

CORPORATION

AZ CC File Number:

State of Incorporation:

Incorporation Date:

Correspondence Address: PO BOX 488

CHANDLER, AZ 85224

USA

Phone:

(480)940-6722

Alt. Phone:

Email:

MBASHA@BASHAS.COM

## RALEY'S HOLDING COMPANY -

Name:

MICHAEL J. TEEL SEPERATE PROPERTY TRUST

Contact Name:

MICHAEL JOSEPH BASHA

Type:

TRUST

AZ CC File Number:

State of Incorporation:

Incorporation Date:

Correspondence Address: PO BOX 488

CHANDLER, AZ 85244

USA

Phone:

(480)940-6722

Alt. Phone:

Email:

MBASHA@BASHAS.COM

## MICHAEL J. TEEL SEPERATE PROPERTY TRUST - TRUSTEE

Name:

MICHAEL JAMES TEEL

Gender:

Male

Correspondence Address: PO BOX 488

CHANDLER, AZ 85244

USA

Phone:

(916)373-3333

Alt. Phone:

Email:

MTEEL@RALEYS.COM

## **MANAGERS**

Name:

JENNIFER KALEST THEEL WARNER

Gender:

Female

Correspondence Address: PO BOX 488

CHANDLER, AZ 85224

USA

Phone:

(503)477-3307

Alt. Phone:

Email:

JWARNER3@RALEY.S.COM

\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

Name:

KENNETH CARROL MUELLER

Gender:

Male

Correspondence Address: PO BOX 488

CHANDLER, AZ 85224

USA

Phone:

(916)479-3924

Alt. Phone:

Email:

KMUELLER@RALEYS.COM

\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

Name:

KEITH EDWARD KNOPF

Gender:

Male

Correspondence Address: PO BOX 488

CHANDLER, AZ 85224

USA

Phone:

(262)951-6862

Alt. Phone:

Email:

KKNOPF@RALEYS.COM

## APPLICATION INFORMATION

Application Number:

169938

Application Type:

New Application

Created Date:

11/22/2021

## **QUESTIONS & ANSWERS**

## **INP Interim Permit**

- Enter License Number currently at location
- 2) Is the license currently in use?
- 3) Will you please submit section 5, page 6, of the license application when you reach the upload page?



## Arizona Department of Liquor Licenses and Control 800 W Washington 5<sup>th</sup> Floor Phoenix, AZ 85007-2934 www.azliquor.gov (602) 542-5141

#### **QUESTIONNAIRE**

A.R.S.§4-202, 4-210 Type or Print with <u>Black</u> Ink

The fees allowed by R19-1-102 will be charged for all dishonored checks.

<u>ATTENTION APPLICANT</u>: This is a legally binding document. Please type or print in <u>black ink</u>. An investigation of your background will be conducted. Incomplete applications will not be accepted. False or misleading answers may result in the denial or revocation of a license or permit and could result in criminal prosecution.

<u>Attention local governments:</u> Social security and birth date information is confidential. This information may be given to law enforcement agencies for background checks only.

QUESTIONNAIRE IS TO BE COMPLETED BY EACH CONTROLLING PERSON, AGENT AND MANAGER BEING DISCLOSED TO THE DEPARTMENT. EACH PERSON COMPLETING THIS FORM MUST SUBMIT A <u>BLUE OR BLACK LINED</u> FINGERPRINT CARD ALONG WITH A \$22 FEE. FINGERPRINTS MUST BE DONE BY A LAW ENFORCEMENT AGENCY OR BONA FIDE FINGERPRINT SERVICE. FOR AN ADDITIONAL \$13 FEE, FINGERPRINTS MAY BE DONE AT THE DEPARTMENT OF LIQUOR WHEN ACCOMPANIED BY A COMPLETED APPLICATION.

DEI ARIMEINI OI	LIQUOR WILLIA	ACCOMI ANIED BY A COMITE	ILD AITECATION	Liquor Lic	cense#·	0902	0058		
. Check the									=
Appropriate Box		Controlling Person	✓ Agent		(compl		ises Manager uestions exce	ot #12)	
2. Name: BA	SHA, MIC	CHAEL JOSEPH First		Middle		-	Birth Date:	OT a public recor	rd)
3. Social Secu	rity #:	Drive	er License				State: AZ		
4. Place of bir	th: PHOEN	NIX, AZ USA	Heig	ght: 5'7"	Weight	160	_ Eyes: BRN	Hair: BRI	<u>\</u>
5. Name of cu	urrent/most red	cent spouse: N/A		First	Mi	ddle	Birth Date:	//_ IOT a public reco	rd)
6. Are you a b	ona fide resid	lent of Arizona? Ves							
7. Daytime tel	ephone numb	per: 480-940-6722	E-mail c	nddress: m	basha@	@bash	as.com		
8. Business Na				7			ss Phone:	,439 <sub>,</sub> 608	30
9 Business Loc	cation Address	85 S. Hwy 92, Si	ierra Vista	, AZ Co	chise C	County	85635		
7. DOSINGSS LOC	zanon / dares.	Street (do not use PO Box	:)	City	State	1	County	Zip	
1 <u>0. List your en</u>	nployment or	type of business during the	past five (5) ye	ears. If une	mployed, r	etired, o	r student, list re	esidence ade	dres
FROM Month/Year	TO Month/Year	DESCRIBE POSITION OR BUS	SINESS				NAME OF BUSINESS by, State & Zip)		
7/1983	CURRENT	VP, RETAIL GROO	CERY BA	SHAS' INC	., 22402 S.	BASHA F	ROAD, CHAND	LER, AZ 8524	18

(ATTACH ADDITIONAL SHEET IF NECESSARY)

If you answered YES, then answer #13 below. If NO, skip to #14.  3. Have you attended a DLLC approved Basic & Management Liquor Law Training Course within the past 3 years?  4. Have you been cited, arrested, indicted, convicted, or summoned into court for violation of ANY criminal law or ordinance, regardless of the disposition, even if dismissed or expunged, within the past five (5) years?  5. Are there ANY administrative law citations, compliance actions or consents, criminal arrests, indictments or summonses pending against you? (Do not include civil traffic tickets.) A.R.S.§4-202.4-210  5. Has anyone EVER obtained a judgement against you the subject of which involved fraud or misrepresentation?  7. Have you had a liquor application or license rejected, denied, revoked or suspended in or outside of Arizona within the last five years? A.R.S.§4-202(D)  8. Has an entity in which you are or have been a controlling person had an application or license rejected, denied, revoked or suspended in or outside of Arizona within the last five years? A.R.S.§4-202(D)  16 If you answered "YES" to any Question 14 through 18 YOU MUSI attach a signed statement.  Give complete details including dates, agencies involved and dispositions.  CHANGES TO QUESTIONS 14-18 MAY NOT BE ACCEPTED  NOTARY  1 (Print Full Name) MICHAEL JOESPH BASHA  hereby declare that I am the Agent/ Controlling Personal Country of Machael Country of The foregoing instrument was acknowledged before me My Commission Expires on:  Signature: MICHAEL JOESPH BASHA  The foregoing instrument was acknowledged before me My Commission Expires on:  A DAY OF MICHAEL SIGNED BASHA  The foregoing instrument was acknowledged before me My Commission Expires on:  A DAY OF MICHAEL SIGNED BASHA  The foregoing instrument was acknowledged before me My Commission Expires on:	FROM Month/Year	TO Month/Year	dress information for the last five (5) years: A.R.S. §4-202(D) *31 NOV 26 Lig*. Lic. (	
2. As a Controlling Person or Agent, will you be physically present and operating the licensed premises?  If you answered YES, then answer #13 below. If NO, skip to #14.  3. Have you attended a DLLC approved Basic & Management Liquor Law Training Course within the past 3  years?  4. Have you been cited, arrested, indicted, convicted, or summoned into court for violation of ANY criminal law or ordinance, regardless of the disposition, even if dismissed or expunged, within the past five (5) years?  5. Are there ANY administrative law citations, compliance actions or consents, criminal arrests, indictments or summonses pending against you? (Do not include civil traffic tickets.) A.R.S.§4-202.4-210  5. Has anyone EVER obtained a judgement against you the subject of which involved fraud or misrepresentation?  6. Have you had a liquor application or license rejected, denied, revoked or suspended in or outside of Arizona within the last five years? A.R.S.§4-202(D)  8. Has an entity in which you are or have been a controlling person had an application or license rejected, denied, revoked or suspended in or outside of Arizona within the last five years? A.R.S.§4-202(D)  18. Has an entity in which you are or have been a controlling person had an application or license rejected, denied, revoked or suspended in or outside of Arizona within the last five years? A.R.S.§4-202(D)  19. If you answered "YES" to any Question 14 through 18 YOU MUST attach a signed statement. Give complete details including dates, agencies involved and dispositions.  CHANGES TO QUESTIONS 14-18 MAY NOT BE ACCEPTED  10. The foregoing Instrument was acknowledged before me had provided and person that the past of my knowledge.  11. State of The foregoing Instrument was acknowledged before me had past a state of the past of the p	12/12	CURRENT	16213 S 29TH DRIVE, PHOENIX, AZ 85048	
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summonses pending against you? (Do not include civil traffic tickets.) A.R.S. §4-202.4-210  Has anyone EVER obtained a judgement against you the subject of which involved fraud or misrepresentation?  Have you had a liquor application or license rejected, denied, revoked or suspended in or outside of Arizona within the last five years? A.R.S. §4-202(D)  Has an entity in which you are or have been a controlling person had an application or license rejected, denied, revoked or suspended in or outside of Arizona within the last five years? A.R.S. §4-202(D)  If you answered "YES" to any Question 14 through 18 YOU MUST attach a signed statement.  Give complete details including dates, agencies involved and dispositions.  CHANGES TO QUESTIONS 14-18 MAY NOT BE ACCEPTED  NOTARY  I (Print Full Name)  MICHAEL JOESPH BASHA  hereby declare that I am the Agent/ Controlling Person had an application. I have read this document and verify the contents and all statements are to correct and complete, to the best of my knowledge.  Signature:  MARILYN CHAPMAN  Notary Public - State of Arizona  MARICOPA COUNTY  Commission Expires on:  ARRICOPA COUNTY  Commission Services on:  ARRICO	. Have you b law or ordin	peen <u>cited, arre</u> nance, regardle	sted, indicted, convicted, or summoned into court for violation of ANY criminal ss of the disposition, even if dismissed or expunged, within the past five (5) years?	Yes
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within the last five years? A.R.S. §4-202(D)  Has an entity in which you are or have been a controlling person had an application or license rejected, denied, revoked or suspended in or outside of Arizona within the last five years? A.R.S. §4-202(D)  If you answered "YES" to any Question 14 through 18 YOU MUST attach a signed statement.  Give complete details including dates, agencies involved and dispositions.  CHANGES TO QUESTIONS 14-18 MAY NOT BE ACCEPTED  NOTARY  I (Print full Name)  MICHAEL JOESPH BASHA  hereby declare that I am the Agent/ Controlling Person and complete, to the best of my knowledge.  Signature:  State of County of Mariana Mariana Agent/ Controlling Person and Complete. State of Arizona Mariana Agent/ Controlling Person and Complete. State of Arizona Mariana Agent/ Controlling Person and Complete. State of Arizona Mariana Agent/ Controlling Person and Complete. State of Arizona Mariana Agent/ Controlling Person and Complete. State of Arizona Mariana Agent/ Controlling Person and Complete. State of Arizona Mariana Agent/ Controlling Person and Complete. State of Arizona Mariana Agent/ Controlling Person and Complete and Controlling Person and Complete and Controlling Person and	. Has anyone	e <u>EVER</u> obtained	I a judgement against you the subject of which involved fraud or misrepresentation	? Yes
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NOTARY				□Yes V
Notary Public - State of Arizona MARILYN CHAPMAN Notary Public - State of Arizona MARICOPA COUNTY Commission # 582792  MICHAEL JOESPH BASHA  hereby declare that I am the Agent/ Controlling Personal Per			e complete details including dates, agencies involved and dispositions.	
I (Print Full Name) MICHAEL JOESPH BASHA  hereby declare that I am the Agent/ Controlling Personal Premises Manager filing this application. I have read this document and verify the contents and all statements are a correct and complete, to the best of my knowledge.  Signature:  State of County of County of The foregoing instrument was acknowledged before me Day Month Year MARILYN CHAPMAN Notary Public - State of Arizonal MARICOPA COUNTY Commission # 582792			NOTARY	
My Commission Expires on:  (a) 24 24  Day of Day of Month Year  MARILYN CHAPMAN Notary Public - State of Arizona MARICOPA COUNTY Commission # 582792  MARILYN CHAPMAN Notary Public - State of Arizona MARICOPA COUNTY Commission # 582792	Premises M	anager filing this	L JOESPH BASHA  hereby declare that I am the Agent/ Controlling application. I have read this document and verify the contents and all statements	
MARILYN CHAPMAN Notary Public - State of Arizona MARICOPA COUNTY Commission # 582792  Maricopa County			The foregoing instrument was acknowledged before	OPa re me this
	My Commi	MARILYN CH Notary Public - State MARICOPA Commission #	APMAN e of Arizona DUNTY 582792  Day  Month  Month  Songtive of Notary	Year
The Licensee has authorized the person named on this questionnaire to act as manager for the above Licen	The Lice	nsee has autho	rized the person named on this questionnaire to act as manager for the above	License.

'21 NOV 26 Lig. Lic. 911084



# State of Arizona Department of Liquor Licenses and Control 800 W. Washington 5th Floor Phoenix, AZ 85007 (602) 542-5141

## ARIZONA STATEMENT OF CITIZENSHIP OR ALIEN STATUS FOR STATE PUBLIC BENEFITS

Title IV of the federal Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (the "Act"), 8 U.S.C. § 1621, provides that, with certain exceptions, only United States citizens, United States non-citizen nationals, non-exempt "qualified aliens" (and sometimes only particular categories of qualified aliens), nonimmigrant, and certain aliens paroled into the United States are eligible to receive state, or local public benefits. With certain exceptions, a professional license and commercial license issued by a State agency is a State public benefit.

Arizona Revised Statutes § 41-1080 requires, in general, that a person applying for a license must submit documentation to the license agency that satisfactorily demonstrates the applicant's presence in the United States is authorized under federal law.

Directions: All applicants must complete Sections I, II, and IV. Applicants who are not U.S. citizens or nationals must also complete Section III.

Submit this completed form and a copy of one or more document(s) from the attached "Evidence of U.S. Citizenship, U.S. National Status, or Alien Status" with your application for license or renewal. If the document you submit does not contain a photograph, you must also provide a government issued document that contains your photograph. You must submit supporting legal documentation (i.e. marriage certificate) if the name on your evidence is not the same as your current legal name.

	SECTION I – APPLICANT INFORMATION
INDIVIDUAL OWNER/AGI	ENT NAME (Print or type) MICHAEL JOSEPH BASHA
	SECTION II – CITIZENSHIP OR NATIONAL STATUS DECLARATION
Are you a citizen or natio	onal of the United States? Yes No
If Yes, indicate place of	birth:
City PHOENIX	State (or equivalent) ARIZONACountry or Territory MARICOPA
If you answered <b>Yes</b> , 1)	Attach a legible copy of a document from the attached list.
2)	Name of document: Go to Section IV.  ARIZONA DRIVER'S LICENSE

If you answered No, you must complete Section III and IV.

## SECTION III - ALIEN STATUS DECLARATION

To be completed by applicants who are not citizens or nationals of the United States. Please indicate alien status be checking the appropriate box. Attach a legible copy of a document from the attached list or other document cevidence of your status.
Name of document provided
Qualified Alien Status (8 U.S.C.§§ 1621(a)(1),-1641(b) and (c))
1. An alien lawfully admitted for permanent residence under the Immigration and Nationality Act (INA)
2. An alien who is granted asylum under Section 208 of the INA.
3. A refugee admitted to the United States under Section 207 of the INA.
4. An alien paroled into the United States for at least one year under Section 212(d)(5) of the INA.
5. An alien whose deportation is being withheld under Section 243(h) of the INA.
6. An alien granted conditional entry under Section 203(a)(7) of the INA as in effect prior to April 1, 1980.
7. An alien who is a Cuban/Haitian entrant.
8. An alien who has, or whose child or child's parent is a "battered alien" or an alien subject to extreme cruelty in the United States.
Nonimmigrant Status (8 U.S.C. § 1621(a)(2))
9. A nonimmigrant under the Immigration and Nationality Act [8 U.S.C § 1101 et seq.] Non immigrants are persons who have temporary status for a specific purpose. See 8 U.S.C § 1101(a)(15).
Alien Paroled into the United States for Less Than One Year (8 U.S.C. § 1621(a)(3))
10. An alien paroled into the United States for less than one year under Section 212(d)(5) of the INA
Other Persons (8 U.S.C § 1621(c)(2)(A) and (C)
11. A nonimmigrant whose visa for entry is related to employment in the United States, or
12. A citizen of a freely associated state, if section 141 of the applicable compact of free association approved in Public Law 99-239 or 99-658 (or a successor provision) is in effect [Freely Associated States include the Republic of the Marshall Islands, Republic of Palau and the Federate States of Micronesia, 48 U.S.C. § 1901 et seq.];
13. A foreign national not physically present in the United States.
Otherwise Lawfully Present
14. A person not described in categories 1-13 who is otherwise lawfully present in the United States.

PLEASE NOTE: The federal Personal Responsibility and Work Opportunity Reconciliation Act may make persons who fall into this category ineligible for licensure. See 8 U.S.C. § 1621(a).

## **SECTION IV - DECLARATION**

All applicants must complete this section.

I declare under penalty of perjury under the laws of the state of Arizona that the answers and evidence I have given are true and correct to the best of my knowledge.

## MICHAEL JOSEPH BASHA

Individual Owner/Agent Printed Name

Individual Owner/Agent Signature

Today's Date

#### EVIDENCE OF U.S. CITIZENSHIP, U.S. NATIONAL STATUS, OR ALIEN STATUS

You must submit supporting legal documentation (i.e. marriage certificate) if the name on your evidence is not the same as your current legal name.

### Evidence showing authorized presence in the United State includes the following:

- 1. An Arizona driver license issued after 1996 or an Arizona non-operating identification card.
- 2. A driver license issued by a state that verifies lawful presence in the United States.
- 3. A birth certificate or delayed birth certificate showing birth in one of the 50 states, the District of Columbia, Puerto Rico (on or after January 13, 1941), Guam, the U.S. Virgin Islands (on or after January 17, 1917), American Samoa, or the Northern Mariana Islands (on or after November 4, 1986, Northern Mariana Islands local time)
- 4. A United States certificate of birth abroad.
- 5. A United States passport. \*\*\*Passport must be signed\*\*\*
- 6. A foreign passport with a United States visa.
- 7. An I-94 form with a photograph.
- 8. A United States citizenship and immigration services employment authorization document or refugee travel document.
- 9. A United States certificate of naturalization.
- 10. A United States certificate of citizenship.
- 11. A tribal certificate of Indian blood.
- 12. A tribal or bureau of Indian affairs affidavit of birth.
- 13. Any other license that is issued by the federal government, any other state government, an agency of this state or a political subdivision of this state that requires proof of citizenship or lawful alien status before issuing the license.





\*:

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(8)



enforcement agencies for background checks only.

## Arizona Department of Liquor Licenses and Control 800 W Washington 5<sup>th</sup> Floor Phoenix, AZ 85007-2934 www.azliquor.gov (602) 542-5141

#### QUESTIONNAIRE

A.R.S.§4-202, 4-210 Type or Print with <u>Black</u> Ink

The fees allowed by R19-1-102 will be charged for all dishonored checks.

ATTENTION APPLICANT: This is a legally binding document. Please type or print in <u>black ink</u>. An investigation of your background will be conducted. Incomplete applications will not be accepted. False or misleading answers may result in the denial or revocation of a license or permit and could result in criminal prosecution.

Attention local governments: Social security and birth date information is confidential. This information may be given to law

QUESTIONNAIRE IS TO BE COMPLETED BY EACH CONTROLLING PERSON, AGENT AND MANAGER BEING DISCLOSED TO THE DEPARTMENT. EACH PERSON COMPLETING THIS FORM MUST SUBMIT A <u>BLUE OR BLACK LINED</u> FINGERPRINT CARD ALONG WITH A \$22 FEE. FINGERPRINTS MUST BE DONE BY A LAW ENFORCEMENT AGENCY OR BONA FIDE FINGERPRINT SERVICE. FOR AN ADDITIONAL \$13 FEE, FINGERPRINTS MAY BE DONE AT THE DEPARTMENT OF LIQUOR WHEN ACCOMPANIED BY A COMPLETED APPLICATION. 09020058 Liquor License#: 1. Check the **Appropriate** Box Agent Premises Manager ✓ Controlling Person (complete all questions except #12) <sub>2. Name:</sub> Singmaster, Helen Sofia Birth Date Middle First State: California Driver License#: 3. Social Security # 4. Place of birth: Rio Piedras, Puerto Rico, U.S.A. Height: 5'7" Weight: 165 Eyes: Blue Hair: Brown 5. Name of current/most recent spouse: Kelly, Paul Roger 6. Are you a bona fide resident of Arizona? Yes No If yes, what is your date of residency: 7. Daytime telephone number: (916) 373-6394 E-mail address: hsingmas@raleys.com 8. Business Name: Food City #111 \_\_\_\_\_ Business Phone: 520 / 439 / 6080 9. Business Location Address: 85 S. Hwy 92, Sierra Vista, AZ Cochise County 85635 10. List your employment or type of business during the past five (5) years. If unemployed, retired, or student, list residence address. **EMPLOYERS NAME OR NAME OF BUSINESS** FROM **DESCRIBE POSITION OR BUSINESS** Month/Year Month/Year (Street Address, City, State & Zip) 4/2000 Vice President, General Counsel, and Secretary Raley's, 500 West Capitol Avenue, West Sacramento, CA 95605 CURRENT

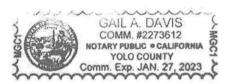
(ATTACH ADDITIONAL SHEET IF NECESSARY)

1. Provide you	ur residence add	ress information for the last five (5) years: A.R.S. §4-202(D)						
FROM Month/Year	TO Month/Year	RESIDENTIAL Street Address						
1/2003	CURRENT	2136 Dinosaur Place, Davis, CA 95616						
<u> </u>		(ATTACH ADDITIONAL SHEET IF NECESSARY)						
		gent, will you be physically present and operating the licensed premises? nswer #13 below. If NO, skip to #14.	Yes					
3. Have you o years?	ittended a DLLC	approved Basic & Management Liquor Law Training Course within the past 3	YesNo					
4. Have you b law or ordir	een <u>cited, arrest</u> ance, regardless	ed, indicted, convicted, or summoned into court for violation of <u>ANY</u> criminal s of the disposition, even if dismissed or expunged, within the past five (5) years?	Yes ✓ N					
		e law citations, compliance actions or consents, criminal arrests, indictments or tyou? (Do not include civil traffic tickets.) A.R.S.§4-202,4-210	Yes					
6. Has anyone	EVER obtained	a judgement against you the subject of which involved fraud or misrepresentation	? Yes V					
	ad a liquor appli ast five years? A.I	cation or license rejected, denied, revoked or suspended in or outside of Arizona R.S.§4-202(D)	Yes					
8. Has an ent denied, re	ity in which you c	are or have been a controlling person had an application or license rejected, ded in or outside of Arizona within the last five years? A.R.S.§4-202(D)	<b>v</b> Yes □ No					
		red " <u>YES</u> " to any Question 14 through 18 <u>YOU MUST</u> attach a <u>signed statement</u> . <u>complete details</u> including dates, agencies involved and dispositions. CHANGES TO QUESTIONS 14-18 MAY NOT BE ACCEPTED						
		NOTARY						
Premises M	anager filing this	fia Singmaster  hereby declare that I am the Agent Controlling application. I have read this document and verify the contents and all statements be best of my knowledge.						
Signature:	Heilt	State of County of The foregoing instrument was acknowledged before	o mo this					
My Commis	ssion Expires on: _		Year					
		Sed Humen Signature of Notary						
The Licer	nsee has authori	zed the person named on this questionnaire to act as manager for the above L	icense.					
RINT NAME:		SIGNATURE:						

#### CALIFORNIA ACKNOWLEDGMENT

CIVIL CODE § 1189

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribe to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature of Notary Public \*

Place Notary Seal and/or Stamp Above

- OPTIONAL Completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document. **Description of Attached Document** Document Date: \_\_\_\_\_\_\_ Number of Pages: Control Signer(s) Other Than Named Above: Capacity(ies) Claimed by Signer(s) Signer's Name: Signer's Name: \_ ☐ Corporate Officer - Title(s): \_\_\_\_ ☐ Corporate Officer - Title(s): ☐ Partner — ☐ Limited ☐ General □ Partner – □ Limited □ General ☐ Individual □ Attorney in Fact ☐ Attorney in Fact □ Individual ☐ Trustee ☐ Guardian or Conservator ☐ Trustee □ Guardian or Conservator □ Other: \_ ☐ Other: Signer is Representing: Signer is Representing:

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## Questionnaire - Signed Statement in Response to Question 18

As a controlling person for Raley's, I hereby disclose the following information with respect to Question 18 of my Arizona Department of Liquor Licenses and Control Questionnaire. I provide this information following due inquiry and diligence into Raley's compliance history with respect to its approximately 126 supermarkets located throughout California and Nevada. Notwithstanding my role as a "controlling person" for Raley's or its affiliates, I was not personally involved with any of the alleged actions or inactions resulting in the suspensions, stayed suspensions, or payments in lieu of suspensions described below.

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Please do not hesitate to contact me or my Arizona liquor license counsel, Chris Colyer at Snell & Wilmer L.L.P. (<u>ccolyer@swlaw.com</u> or (602) 382-6579), if you require any further information regarding this response.

Signature

Helen Singmaster

10/11/21

Date



## Arizona Department of Liquor Licenses and Control 800 W Washington 5<sup>th</sup> Floor Phoenix, AZ 85007-2934 www.azliquor.gov (602) 542-5141

## QUESTIONNAIRE

A.R.S.§4-202, 4-210

Type or Print with Black Ink

The fees allowed by R19-1-102 will be charged for all dishonored checks.

<u>ATTENTION APPLICANT</u>: This is a legally binding document. Please type or print in <u>black ink</u>. An investigation of your background will be conducted. Incomplete applications will not be accepted. False or misleading answers may result in the denial or revocation of a license or permit and could result in criminal prosecution.

<u>Attention local governments</u>: Social security and birth date information is confidential. This information may be given to law enforcement agencies for background checks only.

QUESTIONNAIRE IS TO BE COMPLETED BY EACH CONTROLLING PERSON, AGENT AND MANAGER BEING DISCLOSED TO THE DEPARTMENT. EACH PERSON COMPLETING THIS FORM MUST SUBMIT A <u>BLUE OR BLACK LINED</u> FINGERPRINT CARD ALONG WITH A \$22 FEE. FINGERPRINTS MUST BE DONE BY A LAW ENFORCEMENT AGENCY OR BONA FIDE FINGERPRINT SERVICE. FOR AN ADDITIONAL \$13 FEE, FINGERPRINTS MAY BE DONE AT THE DEPARTMENT OF LIQUOR WHEN ACCOMPANIED BY A COMPLETED APPLICATION.

				Liq	uor License#:	0902	0058				
. Check the Appropriate Box		✓ Controlling Person Age			(comp	Premises Manager (complete all questions except #12)					
2. Name: Warner, Jennifer Kalest Theel											
2.1101110	Last		First		Middle			NOT a public record)			
3. Social Secu	ur		Driver License	#			State: Oreg	on			
4. Place of bir	rth: Salt La	ke City, UT, L	USA OUNTRY (not county)	_Height:	6'0" Weight	140	_ Eyes: GR	Hair: BR			
5. Name of co	urrent/most red	cent spouse: Frey	, Cara Jennif	er First	Mi	iddle	_ Birth Date: _	NOT a public record)			
6. Are you a bona fide resident of Arizona? Yes No If yes, what is your date of residency:											
7. Daytime telephone number: (503) 477-3307 E-mail address: jwarner3@raleys.com											
8. Business Name: Food City #111					Business Phone: 520 / 439 / 6080						
9. Business Location Address: 85 S. Hwy 92, Sierra Vista, AZ Cochise County 85635											
		Street (do not u	se PO Box )	(	City State	Э	County	Zip			
10. List vour er	mplovment or	type of business dur	ing the past five	(5) years.	If unemployed.	retired, o	r student, list i	residence address.			
FROM Month/Year	TO Month/Year	DESCRIBE POSITIO			EMPLOYERS	NAME OR I	NAME OF BUSINES ty, State & Zip)				
5/2020	CURRENT	Chief Administ	rative Officer	Raley's,				mento, CA 95606			
3/2020	5/2020	Unemp	loved	2122 NE Alameda Street, Portland, OR 97212							

(ATTACH ADDITIONAL SHEET IF NECESSARY)

Vice President of Legal

Chief Development Officer

Global Chief Compliance Officer and General Counsel, Americas

12/2017

12/2016

5/2011

3/2020

12/2017

12/2016

Columbia Sportswear, 14375 NW Science Park Drive, Portland, OR 97229

Tangible Law, 2122 NE Alameda Street, Portland, OR 97212

XPO (Formerly Con-way), 1717 Northwest 21st Avenue, Portland, OR 97209

11. Provide your residence address information for the last five (5) years: A.R.S. §4-202(D) RESIDENTIAL Street Address Month/Year Month/Year CURRENT 600 Shangri Lane, Sacramento CA 95825 8/2020 1827 J Street, Sacramento, CA 95825 5/2020 8/2020 12/2016 Current 2122 NE Alameda Street, Portland, OR 97212 10/2004 12/2016 3605 NE 16th Avenue, Portland, OR 97212 (ATTACH ADDITIONAL SHEET IF NECESSARY) 12. As a Controlling Person or Agent, will you be physically present and operating the licensed premises? Yes / No If you answered YES, then answer #13 below. If NO, skip to #14. 13. Have you attended a DLLC approved Basic & Management Liquor Law Training Course within the past 3 Yes No years? 14. Have you been cited, arrested, indicted, convicted, or summoned into court for violation of ANY criminal Yes / No law or ordinance, regardless of the disposition, even if dismissed or expunged, within the past five (5) years? 15. Are there ANY administrative law citations, compliance actions or consents, criminal arrests, indictments or \_Yes ✓ No summonses pending against you? (Do not include civil traffic tickets.) A.R.S. §4-202,4-210 16. Has anyone EVER obtained a judgement against you the subject of which involved fraud or misrepresentation? Yes No 17. Have you had a liquor application or license rejected, denied, revoked or suspended in or outside of Arizona Yes V No within the last five years? A.R.S. §4-202(D) ✓ Yes No 18. Has an entity in which you are or have been a controlling person had an application or license rejected, denied, revoked or suspended in or outside of Arizona within the last five years? A.R.S.§4-202(D) If you answered "YES" to any Question 14 through 18 YOU MUST attach a signed statement. Give complete details including dates, agencies involved and dispositions. CHANGES TO QUESTIONS 14-18 MAY NOT BE ACCEPTED NOTARY | (Print Full Name) | Jennifer Kalest Theel Warner hereby declare that I am the Agent/Controlling Person Premises Manager filing this application. I have read this document and verify the contents and all statements are true, correct and complete, to the best of my knowledge. Signature: State of County of The foregoing instrument was acknowledged before me this My Commission Expires on: Day of Date Year Signature of Notary The Licensee has authorized the person named on this questionnaire to act as manager for the above License.

SIGNATURE:

PRINT NAME:

ALIFORNIA ACKNOWLEDGMENT	CIVIL CODE § 1189
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State of California County of	
	wil A Davis Notan Public
On before me, Ot	Here Insert Name and Title of the Officer  Kalest Theel Warner
personally appeared Jenniter	Kalest Theel Warner
	Name(s) of Signer(s)
the within instrument and acknowledged to me that	nature(s) on the instrument the person(s), or the entity
GAIL A. DAVIS COMM. #2273812 ROTARY PUBLIC • CALIFORNIA S	I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.
YOLO COUNTY Comm. Exp. JAN. 27, 2023	WITNESS my hand and official seal.
***************************************	Signature Salch
Place Notary Seal and/or Stamp Above	Signature of Notary Public *
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Description of Attached Document	
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Signer(s) Other Than Named Above:	
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☐ Corporate Officer – Title(s):	□ Corporate Officer – Title(s):
☐ Partner — ☐ LImited ☐ General ☐ Individual ☐ Attorney in Fact	□ Partner - □ Limited □ General □ Individual □ Attorney in Fact
☐ Trustee ☐ Guardian or Conservator	☐ Trustee ☐ Guardian or Conservator
Other:	Other:
Signer is Representing:	Signer is Representing:

angingaben, no na anin inaninatangkangkangkangkangkangkangkangkan ani ani ani ani anganto bakkanga

#### Questionnaire - Signed Statement in Response to Question 18

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Please do not hesitate to contact me or my Arizona liquor license counsel, Chris Colver at Snell & Wilmer L.L.P. (ccolver@swlaw.com or (602) 382-6579), if you require any further information regarding this response.

Signature

<u>Jennifer Warner</u> Printed Name

10/11/21 Date



enforcement agencies for background checks only.

#### Arizona Department of Liquor Licenses and Control 800 W Washington 5<sup>th</sup> Floor Phoenix, AZ 85007-2934 www.azliquor.gov (602) 542-5141

#### **QUESTIONNAIRE**

A.R.S.§4-202, 4-210 Type or Print with <u>Black</u> Ink

The fees allowed by R19-1-102 will be charged for all dishonored checks.

ATTENTION APPLICANT: This is a legally binding document. Please type or print in <u>black ink</u>. An investigation of your background will be conducted. Incomplete applications will not be accepted. False or misleading answers may result in the denial or revocation of a license or permit and could result in criminal prosecution.

Attention local governments: Social security and birth date information is confidential. This information may be given to law

QUESTIONNAIRE IS TO BE COMPLETED BY EACH CONTROLLING PERSON, AGENT AND MANAGER BEING DISCLOSED TO THE DEPARTMENT. EACH

PERSON COMPLETING THIS FORM MUST SUBMIT A BLUE OR BLACK LINED FINGERPRINT CARD ALONG WITH A \$22 FEE. FINGERPRINTS MUST BE DONE BY A LAW ENFORCEMENT AGENCY OR BONA FIDE FINGERPRINT SERVICE. FOR AN ADDITIONAL \$13 FEE, FINGERPRINTS MAY BE DONE AT THE DEPARTMENT OF LIQUOR WHEN ACCOMPANIED BY A COMPLETED APPLICATION. 09020058 Liquor License#: 1. Check the **Appropriate** Box ✓ Controlling Person Agent Premises Manager (complete all questions except #12) 2. Name: Mueller, Kenneth Carrol Birth Date: Middle (NOT a public record) State: California 3. Social Security # Driver L Height: 5'6" Weight: 150 Eves: Blue Hair: Brown 4. Place of birth: Fond du Lac, WI, U.S.A.

City State COUNTRY (not county) 5. Name of current/most recent spouse: Mueller, Brigitte B 6. Are you a bona fide resident of Arizona? Yes No If yes, what is your date of residency: \_ 7. Daytime telephone number: (916) 479-3924 E-mail address: Kmueller@raleys.com 8. Business Name: Food City #111 9. Business Location Address: 85 S. Hwy 92, Sierra Vista, AZ Cochise County 85635 Street (do not use PO Box ) 10. List your employment or type of business during the past five (5) years. If unemployed, retired, or student, list residence address. FROM EMPLOYERS NAME OR NAME OF BUSINESS TO DESCRIBE POSITION OR BUSINESS Month/Year Month/Year (Street Address, City, State & Zip) 2/1997 Chief Financial Officer Raley's, 500 West Capitol Avenue, West Sacramento, CA 95605 CURRENT

(ATTACH ADDITIONAL SHEET IF NECESSARY)

		dress information for the last five (5) years: A.R.S. §4-202(D)	mb/basad00000000000000000000000000000000000		
FROM Month/Year	TO Month/Year	RESIDENTIAL Street Address			
8/1999	CURRENT	848 Brown Drive, El Dorado Hills, CA 95762			
		(ATTACH ADDITIONAL SHEET IF NECESSARY)			
		agent, will you be physically present and operating the licensed premises?	☐Yes ✓ No		
		approved Basic & Management Liquor Law Training Course within the past 3	YesN		
4. Have you b	een <u>cited, arres</u> nance, regardles	ted, indicted, convicted, or summoned into court for violation of <u>ANY</u> criminal ss of the disposition, even if dismissed or expunged, within the past five (5) years?	☐Yes ✓ No		
		re law citations, compliance actions or consents, criminal arrests, indictments or st you? (Do not include civil traffic tickets.) A.R.S.§4-202,4-210	Yes		
6. Has anyone	e <u>EVER</u> obtained	a judgement against you the subject of which involved <u>fraud or misrepresentation</u> ?	Yes N		
	ad a liquor appl ast five years? A.	lication or license rejected, denied, revoked or suspended in or outside of Arizona R.S.§4-202(D)	☐Yes ☑No		
		are or have been a controlling person had an application or license rejected, anded in or outside of Arizona within the last five years? A.R.S.§4-202(D)	<b>v</b> Yes □ No		
		ered " <u>YES</u> " to any Question 14 through 18 <u>YOU MUST</u> attach a <u>signed statement</u> . <u>e complete details</u> including dates, agencies involved and dispositions.  CHANGES TO QUESTIONS 14-18 MAY NOT BE ACCEPTED			
		NOTARY			
Premises M	anager filing this	Carrol Mueller  hereby declare that I am the Agent Controlling application. I have read this document and verify the contents and all statements he best of my knowledge.			
\$ignature:	Kennet	State of County of The foregoing instrument was acknowledged befor	e me this		
My Commis	ssion Expires on:	Day of Day Signature of Notary	Year		
The Licor	isee has author	ized the person named on this questionnaire to act as manager for the above L	icense		
me ricer	isee nus aumor	ized the person homed on this questionhalle to act as manager for the above t	icense.		
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#### CALIFORNIA ACKNOWLEDGMENT

CIVIL CODE § 1189

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A notary public or other officer completing this certificate ver to which this certificate is attached, and not the truthfulness	rifies only the identity of the individual who signed the document s, accuracy, or validity of that document.
State of California	* 1
County of YOO	
	ALL OIL
on October 14,2021 before me, G	Here Insert Name and Title of the Officer
Date	Here Insert Name and Title of the Officer
personally appeared	h Carrol Mueller
	Name(s) of Signer(s)
the within instrument and acknowledged to me the uthorized capacity(ies), and that by his/her/their sign pon behalf of which the person(s) acted, executed the	nature(s) on the instrument the person(s), or the entity
	I certify under PENALTY OF PERJURY under the
GAIL A. DAVIS	laws of the State of California that the foregoing
COMM. #2273612	paragraph is true and correct.
YOLO COUNTY Comm. Exp. JAN. 27, 2023	WITNESS my hand and official seal.
***************************************	1, 00,0
	Signature Land UN
Place Notary Seal and/or Stamp Above	Signature of Notary Public
OPT	TONAL
	deter alteration of the document or
fraudulent reattachment of this	form to an unintended document.
Description of Attached Document	A 2 30 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4
Title or Type of Document: KULSTONNALINE	)-Arizona Dept. of Ligguor Vicense
Document Date:	Number of Pages: Contr
Signer(s) Other Than Named Above:	
Capacity(ies) Claimed by Signer(s)	
Signer's Name:	Signer's Name:
□ Corporate Officer – Title(s):	□ Corporate Officer – Title(s):
☐ Partner — ☐ Limited ☐ General ☐ Individual ☐ Attorney in Fact	☐ Partner — ☐ Limited ☐ General ☐ Individual ☐ Attorney in Fact
☐ Individual ☐ Attorney in Fact ☐ Guardian or Conservator	5.X 1000
Other:	
Signer is Representing:	Signer is Representing:

PRODUCE CONTRACTOR CON

#### Questionnaire - Signed Statement in Response to Question 18

As a controlling person for Raley's, I hereby disclose the following information with respect to Question 18 of my Arizona Department of Liquor Licenses and Control Questionnaire. I provide this information following due inquiry and diligence into Raley's compliance history with respect to its approximately 126 supermarkets located throughout California and Nevada. Notwithstanding my role as a "controlling person" for Raley's or its affiliates, I was not personally involved with any of the alleged actions or inactions resulting in the suspensions, stayed suspensions, or payments in lieu of suspensions described below.

Raley's Store #120 (Tonopah, Nevada): On July 7, 2020, the Nye County, Nevada Licensing and Liquor Board issued a suspension commencing July 17, 2020 of the Nye County Liquor License for Raley's Store #120, located at 1201 S. Main St., Tonopah, Nevada 89049, due to nonpayment of the required license renewal fees. On or before July 9, 2020, Raley's provided payment of \$200.00 in satisfaction of the past due balance owed, resulting in the reinstatement of the liquor license on approximately July 21, 2020. To my knowledge, the matter is now closed.

Raley's Store #119 (Lake Tahoe, California): In or around December 4, 2019, the California Department of Alcohol Beverage Control ("CABC") alleged that Raley's Store #119, located at 4000 Lake Tahoe Boulevard, Suite 6, South Lake Tahoe, California 96150, improperly received gifts, free goods, and other items of value from a supplier between April 28, 2018 and July 12, 2018 in violation of California law. On October 6, 2020, the CABC issued an order granting a compromise consisting of payment of a \$3,000 fine in lieu of serving a suspension of the location's California liquor license. To my knowledge, the matter is now closed.

Bel Air Store #506 (Sacramento, California): In or around September 27, 2019, the CABC in conjunction with the Sacramento Police Department, cited Bel Air Store #506, located at 1301 Florin Road, Sacramento, California 95831, for the sale of alcohol to a minor decoy. In or around August 3, 2020, the CABC issued an order granting a compromise that imposed a \$20,000 fine and permanently staying a 15-day suspension of the location's California liquor license. To my knowledge, the matter is now closed.

Raley's Store #445 (Lodi, California): In or around August 28, 2019, the CABC cited Raley's Store #445, located at 311 South Lower Sacramento Road, Lodi, California 95242, for the sale of alcohol to a minor decoy. In or around November 20, 2019, the CABC issued an order permanently staying a 10-day suspension of the location's California liquor license provided there are no further violations within one year. To my knowledge, no such violations occurred within one year such that the matter is now closed.

Raley's Store #448 (West Sacramento, California): In or around August 21, 2019, the CABC cited Raley's Store #448, located at 1601 West Capitol Avenue, West Sacramento, California 95691, for the sale of alcohol to a minor decoy. In or around November 15, 2019, the CABC issued a stipulated decision imposing a 5-day suspension of the location's California liquor license, provided that such suspension would be stayed indefinitely so long as no violations occurred within one year. To my knowledge, no such violations occurred within one year such that the matter is now closed.

Raley's Store #227 (Roseville, California): In or around May 16, 2018, the CABC cited Raley's Store #227, located at 1915 Douglas Blvd., Roseville, California 95661, for the sale of alcohol to a minor decoy. In or around September 4, 2018, the CABC issued a stipulated decision imposing a 10-day suspension of the location's California liquor license, provided that such suspension would be stayed indefinitely so long as no violations occurred within one year. To my knowledge, no such violations occurred within one year such that the matter is now closed.

Raley's Store #213 (Grass Valley, California): In or around April 30, 2018, the CABC cited Raley's Store #213, located at 692 Freeman Lane, Grass Valley, California 95949, for the sale of alcohol to a minor decoy. In or around April 18, 2019, the CABC issued an order imposing a \$3,000 fine in lieu of any suspension. To my knowledge, the matter is now closed.

Bel Air Store #510 (Sacramento, California): In or around April 14, 2018, the CABC cited Bel Air Store #510, located at 1540 West El Camino Avenue, Sacramento, California 95833, for the sale of alcohol to a minor decoy. In or around July 11, 2018, the CABC and Raley's agreed to a stipulated suspension of the location's California liquor license, provided that such suspension would be stayed indefinitely so long as no violations occurred within one year. To my knowledge, no such violations occurred within one year such that the matter is now closed.

Raley's Store #309 (Merced, California): In or around November 10, 2017, the CABC cited Raley's Store #309, located at 3550 North G Street, Merced, California 95348, for the sale of alcohol to a minor decoy. In or around April 23, 2018, the CABC issued an order imposing a \$3,000 fine in lieu of a license suspension. To my knowledge, the matter is now closed.

Bel Air Store #506 (Sacramento, California): In or around July 26, 2017, the CABC cited Bel Air Store #506, located at 1301 Florin Road, Sacramento, California 95831, for the sale of alcohol to a minor decoy. In or around February 22, 2018, the CABC ordered a 15-day suspension of the location's California liquor license, provided that such suspension would be stayed indefinitely so long as no violations occurred within one year. To my knowledge, the matter is now closed.

Raley's Store #249 (Chico, California): In or around May 5, 2017, the CABC cited Raley's Store #249, located at 2485 Notre Dame Boulevard, Chico, California 95928, for the sale of alcohol to a minor decoy. In or around September 11, 2017, the CABC issued a decision imposing a 10-day suspension of the location's California liquor license, provided that such suspension would be stayed indefinitely so long as no violations occurred within one year. To my knowledge, no such violations occurred within one year such that the matter is now closed.

Raley's Doing Business as Aisle 1 Fuel Station #450 (Folsom, California): In or around November 10, 2016, the CABC cited Aisle 1 Fuel Station #450, located at 25045 Blue Ravine Rd., Folsom, California 95630, for the sale of alcohol to a minor decoy. In or around March 2, 2017, the CABC issued an order imposing a \$5,810.13 fine in lieu of a suspension of the location's California liquor license. To my knowledge, the matter is now closed. Raley's no longer own this fuel station.

Raley's Store #443 (Elk Grove, California): In or around September 15, 2016, the CABC cited Raley's Store #443, located at 4900 Elk Grove Boulevard, Elk Grove, California 95758, for the sale of a minor decoy. In or around February 8, 2017, the CABC issued an order imposing a \$20,000 fine and a 15-day suspension of the location's California liquor license, provided that such suspension would be stayed indefinitely so long as no violations occurred within one year. To my knowledge, no such violations occurred within one year such that the matter is now closed.

Please do not hesitate to contact me or my Arizona liquor license counsel, Chris Colyer at Snell & Wilmer L.L.P. (ccolver@swlaw.com or (602) 382-6579), if you require any further information regarding this response.

Signature
Kenneth Muello

10-14-2021

Date



Arizona Department of Liquor Licenses and Control 800 W Washington 5<sup>th</sup> Floor Phoenix, AZ 85007-2934 www.azliquor.gov (602) 542-5141

#### **QUESTIONNAIRE**

A.R.S.§4-202, 4-210 Type or Print with <u>Black</u> Ink

The fees allowed by R19-1-102 will be charged for all dishonored checks.

**ATTENTION APPLICANT**: This is a legally binding document. Please type or print in **black ink**. An investigation of your background will be conducted. Incomplete applications will not be accepted. False or misleading answers may result in the denial or revocation of a license or permit and could result in criminal prosecution.

<u>Attention local governments</u>: Social security and birth date information is confidential. This information may be given to law enforcement agencies for background checks only.

QUESTIONNAIRE IS TO BE COMPLETED BY EACH CONTROLLING PERSON, AGENT AND MANAGER BEING DISCLOSED TO THE DEPARTMENT. EACH PERSON COMPLETING THIS FORM MUST SUBMIT A <u>BLUE OR BLACK LINED</u> FINGERPRINT CARD ALONG WITH A \$22 FEE. FINGERPRINTS MUST BE DONE BY A LAW ENFORCEMENT AGENCY OR BONA FIDE FINGERPRINT SERVICE. FOR AN ADDITIONAL \$13 FEE, FINGERPRINTS MAY BE DONE AT THE DEPARTMENT OF LIQUOR WHEN ACCOMPANIED BY A COMPLETED APPLICATION.

		NCY OR BONA FIDE FINGERP ACCOMPANIED BY A COMPLI			ADDITIO	ONAL \$13	FEE, FINC	SERPRINTS N	AY BE D	ONE AT THE
					uor Lice	ense#:	0902	0058		
Appropriate Box		Controlling Person	Age	nt		[comple		ses Manag Jestions ex		2)
2. Name: Te	el, Michae	el James			Middle			Birth Date:	(NOT a pi	ublic record)
3. Social Secu	vrity #:	Drive	er License i	#				state: Nev	/ada	
4. Place of bir	th: Sacran	nento, CA, U.S.A.	not county)	_ Height:	5'6"	_Weight:	152	Eyes: BI	ue <sub>Hair</sub>	Brown
5. Name of cu	urrent/most red	cent spouse: Teel,	Julie	First		Rollofs		Birth Da	(NOT a p	ublic record)
		ent of Arizona? Yes Foer: (916) 373-3333								
8. Business Na								s Phone: _	520 ,43	6080
9. Business Loc	cation Address	Street (do not use PO Box	ierra Vi	ista, Az						Zip
10. List your en	nployment or t TO Month/Year	type of business during the		(5) years.		MPLOYERS N	AME OR N	student, li AME OF BUSIN y, State & Zip)		nce address
1/2010	CURRENT	Chairman		Raley's,	500 Wes	st Capitol	Avenue,	West Sacr	ramento,	CA 95606

(ATTACH ADDITIONAL SHEET IF NECESSARY)

1. Provide you	ur residence addr	ess information for the last five (5) years: A.R.S. §4-202(D)			
FROM Month/Year	TO Month/Year	RESIDENTIAL Street Address			
8/2020	CURRENT 20 Crystal Drive, Crystal Bay, NV 89402				
11/1999 8/2020 4100 Folsom Blvd #10B, Sacramento, CA 95819					
		(ATTACH ADDITIONAL SHEET IF NECESSARY)			
		gent, will you be physically present and operating the licensed premises? sswer #13 below. If NO, skip to #14.	☐Yes ✓ No		
3. Have you o	ittended a DLLC	approved Basic & Management Liquor Law Training Course within the past 3	YesNo		
4. Have you b law or ordir	een <u>cited, arreste</u> nance, regardless	ed, indicted, convicted, or summoned into court for violation of ANY criminal of the disposition, even if dismissed or expunged, within the past five (5) years?	Yes ✓ No		
		e law citations, compliance actions or consents, criminal arrests, indictments or you? (Do not include civil traffic tickets.) A.R.S.§4-202,4-210	Yes ✓ No		
6. Has anyone	e <u>EVER</u> obtained o	a judgement against you the subject of which involved <u>fraud or misrepresentation</u>	ŝ ∐Yes <b>√</b> No		
	ad a liquor applicast five years? A.F.	cation or license rejected, denied, revoked or suspended in or outside of Arizona (S.S.§4-202(D)	Yes√No		
8. Has an ent denied, re	ity in which you a voked or suspend	re or have been a controlling person had an application or license rejected, ded in or outside of Arizona within the last five years? A.R.S.§4-202(D)	✓Yes No		
		ed " <u>YES</u> " to any Question 14 through 18 <u>YOU MUST</u> attach a <u>signed statement</u> . complete details including dates, agencies involved and dispositions. CHANGES TO QUESTIONS 14-18 MAY NOT BE ACCEPTED			
		NOTARY			
Premises M		hereby declare that I am the Agent Controlling application. I have read this document and verify the contents and all statements best of my knowledge.	Person are troe,		
Signature:		State of County of The foregoing instrument was acknowledged befo	re me this		
My Commi	ssion Expires on: _	Date Day of Month Signature of Notary	Year		
The Lice	nsee has authoris	zed the person named on this questionnaire to act as manager for the above	License.		
		SIGNATURE:			
MINI INMINE.		VIVIVIE			

CALIFORNIA ACKNOWLEDGMENT	CIVIL CODE § 1189
A notary public or other officer completing this certificate to which this certificate is attached, and not the truth	ate verifies only the identity of the individual who signed the document
State of California County of	}
On October 14, 2021 before me	Gail A. Davis, Notary Public.
Date M 15 da	Here Insert Name and Title of the Officer
personally appeared	Name(s) of Signer(s)
to the within instrument and acknowledged to n	vidence to be the person(s) whose name(s) is/are subscribed ne that he/she/they executed the same in his/her/their ir signature(s) on the Instrument the person(s), or the entity ited the instrument.
GAIL A. DAVIS COMM. #2273812 NOTARY PUBLIC • CALIFORNIA 9 YOLO COUNTY Comm. Exp. JAN. 27, 2023	I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.  WITNESS my hand and official seal.
Place Notary Seal and/or Stamp Above	Signature Of Notary Public *
	OPTIONAL
	can deter alteration of the document or f this form to an unintended document.
Description of Attached Document Title or Type of Document: Questionne	nine - Arizona Dept. of Liquer Liunes a Number of Pages: Ontal
Signer(s) Other Than Named Above:	Number of Fages.
Capacity(ies) Claimed by Signer(s)	
Signer's Name: Title(s):	
□ Partner - □ Limited □ General	□ Partner - □ Limited □ General
☐ Individual ☐ Attorney in Fact ☐ Guardian or Conserv	□ Individual □ Attorney in Fact vator □ Trustee □ Guardian or Conservator
Other:	Other:
Signer is Representing:	Signer is Representing:

#### Questionnaire - Signed Statement in Response to Question 18

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Please do not hesitate to contact me or my Arizona liquor license counsel, Chris Colyer at Snell & Wilmer L.L.P. (ccolyer@swlaw.com or (602) 382-6579), if you require any further information regarding this response.

Signature

Drintad Nama

10/14/21

Date

00020058



#### Arizona Department of Liquor Licenses and Control 800 W Washington 5<sup>th</sup> Floor Phoenix, AZ 85007-2934 www.azliquor.gov (602) 542-5141

#### **QUESTIONNAIRE**

A.R.S.§4-202, 4-210

Type or Print with Black Ink

The fees allowed by R19-1-102 will be charged for all dishonored checks.

<u>ATTENTION APPLICANT</u>: This is a legally binding document. Please type or print in <u>black ink</u>. An investigation of your background will be conducted. Incomplete applications will not be accepted. False or misleading answers may result in the denial or revocation of a license or permit and could result in criminal prosecution.

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. Check the		Liq	uor License#:	03020030	
Appropriate Box	Controlling Person	Agent	(comp	Premises Mana	-
2. Name: Knopf,	Keith Edward			Birth Dat	7
	Last First		Middle		(NOT a public record)
3. Social Security #	Dr	iver License#:		State: Ca	ılifornia
4. Place of birth: Sa	aint Louis County, MO	, USA Y (not county) Height:	5'11" Weight	186 Eyes: _B	rown Hair: Black/Gray
	most recent spouse: Knopf, D			Birth D	(NOT a public record)
	de resident of Arizona?	No If yes, what is	your date of resi	dency:	(1.0.0 position costs)
7 Daytime telephon	e number: (262) 951-686	)2 F-mail addr	ےςς. κκnopt@	)raleys.com	
8. Business Name: F	ood City #111			Business Phone: _	520 439 6080
9 Rusiness Location	Address: 85 S. Hwy 92,	Sierra Vista, A	Z Cochise (	County 85635	)
7. DOSH 1033 LOCAHOTT	Street (do not use PO	Box )	City State		Zip

10. List your employment or type of business during the past five (5) years, If unemployed, retired, or student, list residence address,

FROM Month/Year	TO Month/Year	DESCRIBE POSITION OR BUSINESS	EMPLOYERS NAME OR NAME OF BUSINESS (Street Address, City, State & Zip)
9/2018	CURRENT	Chief Executive Officer	Raley's, 500 West Capitol Avenue, West Sacramento, CA 95605
2/2017	9/2018	President	Raley's, 500 West Capitol Avenue, West Sacramento, CA 95605
5/2015	2/2017	Chief Operations Officer	Raley's, 500 West Capitol Avenue, West Sacramento, CA 95605

(ATTACH ADDITIONAL SHEET IF NECESSARY)

Month/Year	TO Month/Year		RESIDENTIAL Street Address	
5/2015	CURRENT	4630	0 Carmen Drive, El Dorado Hills, CA 95762	
		(ATTACH ADI	DITIONAL SHEET IF NECESSARY)	110000000000000000000000000000000000000
		Agent, will you be physically answer #13 below. If NO, skip	present and operating the licensed premises?  p to #14.	Yes <b>√</b>
Have you years?	attended a DLL	C approved Basic & Manage	ement Liquor Law Training Course within the past 3	B
lave you l aw or ordi	peen <u>cited, arre</u> nance, regardle	ested, indicted, convicted, or ess of the disposition, even if	r summoned into court for violation of ANY crimina dismissed or expunged, within the past five (5) year	ılYes <b>√</b> ars?
			e actions or consents, criminal arrests, indictments il traffic tickets.) A.R.S.§4-202,4-210	or Yes 7
Has anyon	e <u>EVER</u> obtaine	d a judgement against you t	the subject of which involved <u>fraud or misrepresent</u>	tation? Yes
	nad a liquor app ast five years? A		denied, revoked or suspended in or outside of Ariz	zona □Yes
Has an en denied, re	tity in which you evoked or suspe	u are or have been a controll ended in or outside of Arizona	lling person had an application or license rejected, a within the last five years? A.R.S.§4-202(D)	√Yes _
F.	If you answ		14 through 18 <u>YOU MUST</u> attach a <u>signed statemen</u> g dates, agencies involved and dispositions.	<u>t</u> .
			ONS 14-18 MAY NOT BE ACCEPTED	
Premises M correct an	Meith Education of the complete, to	changes to question	NOTARY  hereby declare that I am the Agent Contains document and verify the contents and all states.	trolling Person ments are troe,
Premises No correct an Signature:	Meith Education of the complete, to	changes to question dward Knopf is application. I have read the the best of my knowledge.	NOTARY  hereby declare that I am the Agent Contains document and verify the contents and all states.  State of County of The foregoing instrument was acknowledged.	d before me this
correct an	Keith Education (Complete, to	dward Knopf is application. I have read the hest of my knowledge.	NOTARY  hereby declare that I am the Agent Contris document and verify the contents and all states.  State of Country of	ments are troe,

1/11/2018

#### CALIFORNIA ACKNOWLEDGMENT

Signer(s) Other Than Named Above: \_ Capacity(ies) Claimed by Signer(s)

☐ Corporate Officer — Title(s): \_\_\_\_\_

☐ Partner - ☐ Limited ☐ General

Signer is Representing: \_\_\_

☐ Individual ☐ Attorney in Fact

Signer's Name: \_\_

☐ Trustee

Other: \_

CIVIL CODE § 1189

। ଏହା ପର୍ଷ ପ୍ରତ୍ୟ ପ୍ରତ୍ୟ ପ୍ରତ୍ୟ ପ୍ରତ୍ୟ ପ୍ରତ୍ୟ ପ୍ରତ୍ୟ ପ୍ରତ୍ୟ ପ୍ରତ୍ୟ ପ୍ରତ୍ୟ ଅଟେ । ଏହା ଏହା ଓ ଏହା ଏହା ଓ ଏହା ଅଟେ । ଏହା ଅଟେ ।

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California				
County of YO O				
On October 11, 2021 before me, Coith	Here Insert Name and Title of the Officer Edward Knopf Name(s) of Signer(s)			
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.				
GAIL A. DAVIS COMM. #2273812 NOTARY PUBLIC • CALIFORNIA G YOLO COUNTY Comm. Exp. JAN. 27, 2023	I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.  WITNESS my hand and official seal.			
	Signature of Notary Public *  TONAL  deter alteration of the document or			
	form to an unintended document.			
Description of Attached Document				

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☐ Guardian or Conservator ☐ Trustee

Signer's Name: \_\_

Other: \_

☐ Corporate Officer - Title(s): \_\_\_

Signer is Representing: \_

□ Partner - □ Limited □ General

☐ Individual ☐ Attorney in Fact

□ Guardian or Conservator

#### Questionnaire - Signed Statement in Response to Question 18

As a controlling person for Raley's, I hereby disclose the following information with respect to Question 18 of my Arizona Department of Liquor Licenses and Control Questionnaire. I provide this information following due inquiry and diligence into Raley's compliance history with respect to its approximately 126 supermarkets located throughout California and Nevada. Notwithstanding my role as a "controlling person" for Raley's or its affiliates, I was not personally involved with any of the alleged actions or inactions resulting in the suspensions, stayed suspensions, or payments in lieu of suspensions described below.

<u>Raley's Store #120 (Tonopah, Nevada)</u>: On July 7, 2020, the Nye County, Nevada Licensing and Liquor Board issued a suspension commencing July 17, 2020 of the Nye County Liquor License for Raley's Store #120, located at 1201 S. Main St., Tonopah, Nevada 89049, due to nonpayment of the required license renewal fees. On or before July 9, 2020, Raley's provided payment of \$200.00 in satisfaction of the past due balance owed, resulting in the reinstatement of the liquor license on approximately July 21, 2020. To my knowledge, the matter is now closed.

Raley's Store #119 (Lake Tahoe, California): In or around December 4, 2019, the California Department of Alcohol Beverage Control ("CABC") alleged that Raley's Store #119, located at 4000 Lake Tahoe Boulevard, Suite 6, South Lake Tahoe, California 96150, improperly received gifts, free goods, and other items of value from a supplier between April 28, 2018 and July 12, 2018 in violation of California law. On October 6, 2020, the CABC issued an order granting a compromise consisting of payment of a \$3,000 fine in lieu of serving a suspension of the location's California liquor license. To my knowledge, the matter is now closed.

Bel Air Store #506 (Sacramento, California): In or around September 27, 2019, the CABC in conjunction with the Sacramento Police Department, cited Bel Air Store #506, located at 1301 Florin Road, Sacramento, California 95831, for the sale of alcohol to a minor decoy. In or around August 3, 2020, the CABC issued an order granting a compromise that imposed a \$20,000 fine and permanently staying a 15-day suspension of the location's California liquor license. To my knowledge, the matter is now closed.

Raley's Store #445 (Lodi, California): In or around August 28, 2019, the CABC cited Raley's Store #445, located at 311 South Lower Sacramento Road, Lodi, California 95242, for the sale of alcohol to a minor decoy. In or around November 20, 2019, the CABC issued an order permanently staying a 10-day suspension of the location's California liquor license provided there are no further violations within one year. To my knowledge, no such violations occurred within one year such that the matter is now closed.

Raley's Store #448 (West Sacramento, California): In or around August 21, 2019, the CABC cited Raley's Store #448, located at 1601 West Capitol Avenue, West Sacramento, California 95691, for the sale of alcohol to a minor decoy. In or around November 15, 2019, the CABC issued a stipulated decision imposing a 5-day suspension of the location's California liquor license, provided that such suspension would be stayed indefinitely so long as no violations occurred within one year. To my knowledge, no such violations occurred within one year such that the matter is now closed.

Raley's Store #227 (Roseville, California): In or around May 16, 2018, the CABC cited Raley's Store #227, located at 1915 Douglas Blvd., Roseville, California 95661, for the sale of alcohol to a minor decoy. In or around September 4, 2018, the CABC issued a stipulated decision imposing a 10-day suspension of the location's California liquor license, provided that such suspension would be stayed indefinitely so long as no violations occurred within one year. To my knowledge, no such violations occurred within one year such that the matter is now closed.

<u>Raley's Store #213 (Grass Valley, California)</u>: In or around April 30, 2018, the CABC cited Raley's Store #213, located at 692 Freeman Lane, Grass Valley, California 95949, for the sale of alcohol to a minor decoy. In or around April 18, 2019, the CABC issued an order imposing a \$3,000 fine in lieu of any suspension. To my knowledge, the matter is now closed.

Bel Air Store #510 (Sacramento, California): In or around April 14, 2018, the CABC cited Bel Air Store #510, located at 1540 West El Camino Avenue, Sacramento, California 95833, for the sale of alcohol to a minor decoy. In or around July 11, 2018, the CABC and Raley's agreed to a stipulated suspension of the location's California liquor license, provided that such suspension would be stayed indefinitely so long as no violations occurred within one year. To my knowledge, no such violations occurred within one year such that the matter is now closed.

Raley's Store #309 (Merced, California): In or around November 10, 2017, the CABC cited Raley's Store #309, located at 3550 North G Street, Merced, California 95348, for the sale of alcohol to a minor decoy. In or around April 23, 2018, the CABC issued an order imposing a \$3,000 fine in lieu of a license suspension. To my knowledge, the matter is now closed.

Bel Air Store #506 (Sacramento, California): In or around July 26, 2017, the CABC cited Bel Air Store #506, located at 1301 Florin Road, Sacramento, California 95831, for the sale of alcohol to a minor decoy. In or around February 22, 2018, the CABC ordered a 15-day suspension of the location's California liquor license, provided that such suspension would be stayed indefinitely so long as no violations occurred within one year. To my knowledge, the matter is now closed.

Raley's Store #249 (Chico, California): In or around May 5, 2017, the CABC cited Raley's Store #249, located at 2485 Notre Dame Boulevard, Chico, California 95928, for the sale of alcohol to a minor decoy. In or around September 11, 2017, the CABC issued a decision imposing a 10-day suspension of the location's California liquor license, provided that such suspension would be stayed indefinitely so long as no violations occurred within one year. To my knowledge, no such violations occurred within one year such that the matter is now closed.

Raley's Doing Business as Aisle 1 Fuel Station #450 (Folsom, California): In or around November 10, 2016, the CABC cited Aisle 1 Fuel Station #450, located at 25045 Blue Ravine Rd., Folsom, California 95630, for the sale of alcohol to a minor decoy. In or around March 2, 2017, the CABC issued an order imposing a \$5,810.13 fine in lieu of a suspension of the location's California liquor license. To my knowledge, the matter is now closed. Raley's no longer own this fuel station.

Raley's Store #443 (Elk Grove, California): In or around September 15, 2016, the CABC cited Raley's Store #443, located at 4900 Elk Grove Boulevard, Elk Grove, California 95758, for the sale of a minor decoy. In or around February 8, 2017, the CABC issued an order imposing a \$20,000 fine and a 15-day suspension of the location's California liquor license, provided that such suspension would be stayed indefinitely so long as no violations occurred within one year. To my knowledge, no such violations occurred within one year such that the matter is now closed.

Please do not hesitate to contact me or my Arizona liquor license counsel, Chris Colyer at Snell & Wilmer L.L.P. (ccolyer@swlaw.com or (602) 382-6579), if you require any further information regarding this response.

Signature

Keith E. Knopf

Printed Name

Date

Certificate #\_ On-line

# Certificate of Completion

	Title 4 MANAGEM	<b>ENT</b> Liquor Law T	raining
A Certificate of Completion must l approved training provider and, w			Certificates are completed by a state-
	atistactory completion of a State-	approved BASIC Title 4 cou	npletion for BASIC Title 4 training must be on file is emust be verified by the training provider prior
A replacement Certificate of Comcompletion date.	pletion for Title 4 training must be	available through the traini	ing provider for two years after the Iraining
Trainin	Michae Full Nor		10/27/2024 Expiralion Date m completion date)
	M Mereco I  Com  736 S. Longmore :	vicler Information  nc AAEC pany Name  St Chandler AZ 8 ing Address	85224
	602	201121	
		73 <i>8-</i> 1421 Tact Phone Number	
Licenses and Control. Lunde	print) y in accordance with A.R.S ng course content and ma ristand that misuse of this C	. §4-112(G)(2) and Ari aterials approved by t Certificate of Completi	ndividual did successfully complete zona Administrative Code he Arizona Department of Liquor ion can result in the revocation of ed by A.A.C. R19-1-103(E) and (F).
Laur	en Mevrett InstructorSignature		10/27/ 2021
Persons required to complete BASK	: & MANAGEMENT Title 4 training:	licensed business of a set 2) licensees, agents and m	ed in the daily business operations of a liquor- ries listed below anagers actively involved in the daily business ensed business of a series listed below
In-state Microbrewery (series:3) Conveyance (series:8) Restourant (series:12)	Covernment (series 5) Liquor Store (series ?) In-state Farm Winery (series 13)	Bar (series 6) Private Club (series 1.4	Beer & Wine Bar (series 7) Hotel/Motel w/restaurant (series 11) Beer & Wine Store (series 10)
Liquor license applications (initial an submitted to the Department of Liqu		il valid Certificates of Comp	detion for all required persons have been

The questionnaire (which designates a manager to a location) and the agent change form (which assigns a new agent to active liquor licenses) are not complete until valid Certificates of Completion for all required persons have been submitted to the Department of Liquor.

July 11, 2013

'21 NOV 26 Ligr. Lic. AM1034

Certificate # On-line

## Certificate of Completion For

X On-sale

X Off-sale

On- and off-sale

Title 4 **BASIC** Liquor Law Training

A Certificate of Completion must be on a form provided by the Arizona Department of Liquor. Certificates are completed by a state-approved training provider and, when issued, the Certificate is signed by the course participant.

The State requires BASIC Title 4 training only as a prerequisite for MANAGEMENT Title 4 training or as a result of a liquor law violation. Persons required to have BASIC Title 4 training are listed at the base of this Certificate. Licensees sometimes require BASIC Title 4 Training a condition of employment.

A replacement Certificate of Completion for Title 4 training must be available through the training provider for two years after the training completion date.

Student Information

Michael Joseph Basha

Full Name (please print)

Slanature

10/27/2021

10/27/2024

Training Completion Date

Certificate Expiration Date
(three years from completion date)

Training Provider Information

M Mereco Inc. - AAEC

Company Name

736 S. Longmore St Chandler AZ 85224

Mailing Address

602-738-1421

Daytime Contact Phone Number

I, \_\_\_\_ Lauren Merrett

bayiino boniaen mono nombor

Instructor Name (please print)

Tite 4 BASIC Training in accordance with A.R.S. §4-112(G) (2) and Arizona Administrative Code (A.A.C.)R19-1-103 using training course content and materials approved by the Arizona Department of Liquor Licenses and Control. I understand that misuse of this Certificate of Completion can result in the revocation of State-approval for the Title 4 Training Provider named in this section as provided by A.A.C. R19-1-103(E) and (F).

Lauren Merrett

10/27 / 2021

, certify that the above named individual did successfully complete

- Persons required to complete BASIC & MANAGEMENT Title 4 training; 1) owner(s) actively involved in the daily business operations of a liquorlicensed business of a series listed below
  - Ilcensees, agents and managers actively involved in the daily business operations of a liquor-licensed business of a series listed below

In-state Microbrewery (series 3) Conveyance (series 8)

Restaurant (series 12)

Government (series 5)

Liquor Store (series 9) Priv In-state Farm Winery (series 13)

Bar (series 6) Private Club (series 14) Beer & Wine Bar (series 7) Hotel/Motel w/restaurant (series 11)

Beer & Wine Store (series 10)

Liquor license applications (initial and renewal) are not complete until valid Certificates of Completion for all required persons have been submitted to the Department of Liquor.

The questionnaire (which designates a manager to a location) and the agent change form (which assigns a new agent to active liquor licenses) are not complete until valid Certificates of Completion for all required persons have been submitted to the Department of Liquor.

July 11, 2013

February 4, 2022

MEMORANDUM TO: Honorable Mayor and City Council

THRU: Charles P. Potucek, City Manager

FROM: Jill Adams, City Clerk

SUBJECT: REQUEST FOR AGENDA ITEM PLACEMENT

Resolution 2022-005, a location transfer of a Series 6 Limited Liability-type Liquor License for Wacey Austin Barta on behalf of DUB Ventures LLC dba Punchy's Tavern, 1939 Frontage Road,

Suite A, Sierra Vista, Arizona

#### **RECOMMENDATION:**

The City Manager recommends approval of this license. The City Clerk recommends approval of this license.

#### **INITIATED BY:**

Mr. Wacey Austin Barta 3500 N Mallard Circle Sierra Vista, AZ 85635

#### BACKGROUND:

The City received an application for a location transfer of a Series 6 Limited Liability-type Liquor License for Wacey Austin Barta on behalf of DUB Ventures LLC dba Punchy's Tavern.

The Series 6 Limited Liability-type Liquor License will be located at 1939 Frontage Road, Suite A, Sierra Vista, Arizona. The license allows a bar retailer to sell and serve all types of spirituous liquors, primarily by individual portions, to be consumed on the premises and in the original container for consumption on or off the premises.

State law requires the applicant to apply for a liquor license from the Arizona Department of Liquor License and Control. That department then sends a copy of the liquor license application to the municipality for their approval. A notice of public hearing was posted on the premises on January 12, 2022, 20 plus days prior to the public hearing. The public hearing notice was posted and to date, no responses, either pro or con have been received. The Police Department has performed a background investigation and has given its approval for the license to proceed.

The Council's decision concerning this liquor license application will be forwarded to the State Department of Liquor Licenses and Control, who will then issue the liquor license if no objections were received. If objections were received, that department will conduct a hearing regarding the license.

#### **BUDGET APPROPRIATION:**

Not applicable.

#### **RESOLUTION 2022-005**

A RESOLUTION OF THE MAYOR AND CITY COUNCIL OF THE CITY OF SIERRA VISTA, COCHISE COUNTY, ARIZONA; REAFFIRMING SETTLED POLICY BY RECOMMENDING APPROVAL OF A LOCATION TRANSFER OF A SERIES 6 LIMITED LIABILITY-TYPE LIQUOR LICENSE FOR WACEY AUSTIN BARTA ON BEHALF OF DUB VENTURES LLC DBA PUNCHY'S TAVERN; TO THE STATE DEPARTMENT OF LIQUOR LICENSES AND CONTROL; AND AUTHORIZING AND DIRECTING THE CITY MANAGER, CITY CLERK, CITY ATTORNEY OR THEIR DULY AUTHORIZED OFFICERS AND AGENTS TO TAKE ALL STEPS NECESSARY TO CARRY OUT THE PURPOSES AND INTENT OF THIS RESOLUTION.

WHEREAS, an application for a location transfer of a Series 6 Limited Liability-type Liquor License for Wacey Austin Barta on behalf of DUB Ventures LLC dba Punchy's Tavern; and

WHEREAS, Arizona Revised Statutes §4-112 requires local municipalities to grant approval or disapproval of all liquor licenses being applied for within their jurisdiction; and

WHEREAS, the application has been posted on the premises of the business for twenty (20) days as required by State law; and

WHEREAS, it is the settled policy of the City Council that liquor licenses be recommended for approval if no objections are raised.

NOW, THEREFORE, BE IT RESOLVED BY THE MAYOR AND CITY COUNCIL OF THE CITY OF SIERRA VISTA, ARIZONA, AS FOLLOWS:

#### SECTION 1

The City Council reaffirms its settled policy on liquor licenses within City limits.

#### SECTION 2

The City Council of the City of Sierra Vista recommends approval of the Application for a location transfer of a Series 6 Limited Liability-type Liquor License for Wacey Austin Barta on behalf of DUB Ventures LLC dba Punchy's Tavern located at 1939 Frontage Road, Suite A, Sierra Vista, Arizona, to the State Department of Liquor Licenses and Control.

RESOLUTION 2022-005 PAGE ONE OF TWO

#### **SECTION 3**

The City Manager, City Clerk, City Attorney, or their duly authorized officers and agents, are hereby authorized and directed to take all steps necessary to carry out the purposes and intent of this Resolution.

PASSED AND ADOPTED BY THE MAYOR AND CITY COUNCIL OF THE CITY OF SIERRA VISTA, ARIZONA, THIS  $10^{\text{TH}}$  DAY OF FEBRUARY 2022.

	Frederick W. Mueller Mayor
Approved as to Form:	Attest:
Nathan J. Williams Assistant City Attorney	Jill Adams City Clerk
Prepared By: Jill Adams, City Clerk	

## State of Arizona **Department of Liquor Licenses and Control**

Created 01/11/2022 @ 03:20:41 PM

Local Governing Body Report

#### **LICENSE**

Number:

06020033

Type:

**Expiration Date:** 

006 BAR

06/30/2022

Name:

PUNCHY'S TAVERN

State:

Pending

Issue Date:

Original Issue Date: Location:

12/21/1981 1939 FRONTAGE ROAD

SIERRA VISTA, AZ 85635

USA

Mailing Address:

3500 N MALLARD CIRCLE

SIERRA VISTA, AZ 85635

USA

Phone:

(602)908-6138

Alt. Phone:

Email:

WHERETHEYBUCK@GMAIL.COM

Currently, this license has pending applications.

#### **AGENT**

Name:

WACEY AUSTIN BARTA

Gender:

Male

Correspondence Address: 3500 N MALLARD CIRCLE

SIERRA VISTA, AZ 85635

**USA** 

Phone:

(602)908-6138

Alt. Phone:

Email:

WHERETHEYBUCK@GMAIL.COM

#### **OWNER**

Name:

**DUB VENTURES LLC** 

Contact Name:

WACEY AUSTIN BARTA

Type:

LIMITED LIABILITY COMPANY

AZ CC File Number:

23290811 11/01/2021

Incorporation Date: Correspondence Address: 3500 N MALLARD CIRCLE

State of Incorporation: AZ

SIERRA VISTA, AZ 85635 USA

Phone:

(602)908-6138

Alt. Phone:

Email:

WHERETHEYBUCK@GMAIL.COM

Officers / Stockholders

Title: % Interest: Name: WACEY AUSTIN BARTA Member 100.00

**DUB VENTURES LLC - Member** 

Name:

WACEY AUSTIN BARTA

Gender:

Male

Correspondence Address: 3500 N MALLARD CIRCLE

SIERRA VISTA, AZ 85635

USA

Phone:

(602)908-6138

Alt. Phone:

Email:

WHERETHEYBUCK@GMAIL.COM

### APPLICATION INFORMATION

Application Number:

179918

Application Type:

Location / Owner Transfer

Created Date:

01/11/2022



## **QUESTIONS & ANSWERS**

#### 006 Bar

1) Are you applying for an Interim Permit (INP)?

No

4) Does the Business location address have a street address for a City or Town but is actually in the boundaries of another City, Town or Tribal Reservation?

No

10) Provide name, address, and distance of nearest school and church. (If less than one (1) mile note footage)

GREAT EXPECTATIONS EARLY LEARNING CENTER- 690 FT 1764 Paseo San Luis, Sierra Vista, AZ 85635

#### COMMUNITY BAPTIST CHURCH- 1,050 FT

1763 Paseo San Luis, Sierra Vista, AZ 85635

11) Are you one of the following? Please indicate below.

Property Tenant

Sub-tenant

Property Owner

Property Purchaser

Property Management Company

Property Tenant

12) Is there a penalty if lease is not fulfilled?

Yes

What is the penalty?

\$2300.00 MONTH FOR 3 YRS

13) What is the total money borrowed for the business not including the lease?

Please list lenders/people owed money for the business.

0

14) Is there a drive through window on the premises?

No

15) If there is a patio please indicate contiguous or non-contiguous within 30 feet.

#### **CONTIGUOS PATIO**

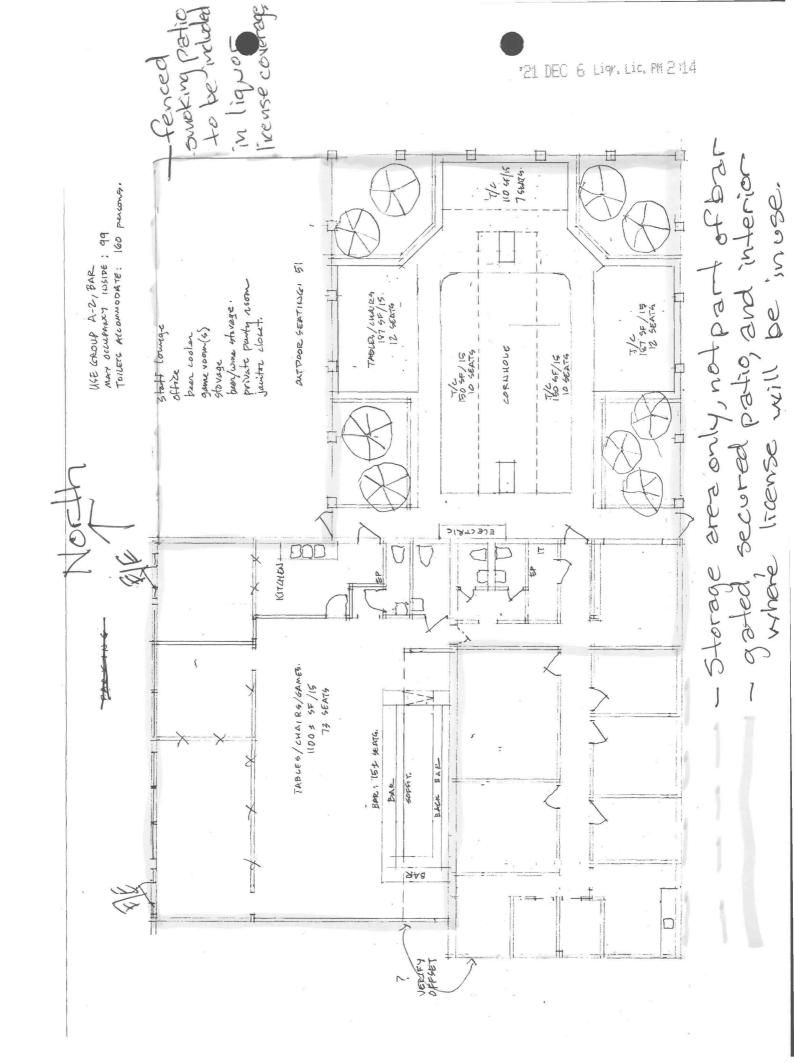
16) Is your licensed premises now closed due to construction, renovation or redesign or rebuild?

Yes

If yes, what is your estimated completion date?

03/01/2022

23) Total Price paid for Series 6 Bar, Series 7 Beer & Wine Bar or Series 9 Liquor Store (license only) \$7200.00



## BILL OF SALE DEC 6 Light, Lic. PM 21/16

12-6-21

I, Wacey Austin Barta, owner of class 6 Arizona Department of Liquor Licenses and Control license #06020033, do sell the above mentioned license to Dub Ventures, LLC. on this day, December 6,2021.

Wacey Austin Barta
War Sout 12/6/21



## **BILL OF SALE**

The City of Sierra Vista, as owner of State of Arizona Department of Liquor Licenses and Control Alcoholic Beverage Liquor License class 6 #06020033, for the location known as Sports Gallery Bar & Grill, 3514 Kings Court, Sierra Vista AZ 85635 do hereby sell said license to Wacey Barta for the sum of SEVEN THOUSAND TWO HUNDRED DOLLARS AND NO CENTS (\$7,200.00).

As the City of Sierra Vista has received this 14<sup>th</sup> day of March 2019 the sum of \$7,200.00 paid by Wacey Austin Barta the City hereby transfers all rights to the liquor license listed above to Wacey Barta.

This transaction and sale are complete and final with this Bill of Sale and agreed upon by both signing parties:

) ... 5

BUYER:

Wacey Austin Barta

#### Acknowledgment

State of Arizona

County of Cochise)

On this 2rd day of <u>Decamber</u>, 2021, before me personally appeared

Ukcey Austra Barta whose identity was proven to me on

the basis of satisfactory evidence to be the person who he or she claims to be, and acknowledged that he or she signed the above/attached document.

(seal)



Notary Public

Bill of Sale - Liquor fice 158 06020033

Page 1 of 2

'21 DEC 6 Ligr. Lic. PM 2:15

SELLER:

CITY OF SIERRA VISTA

Sara Jill Adams, City Clerk

Agent on behalf of the City of Sierra Vista, AZ

#### Acknowledgment

State of Arizona

County of Cochine)

On this and day of Jeanber, 20

2021, before me personally appeared

, whose identity was proven to me on

the basis of satisfactory evidence to be the person who he or she claims to be, and acknowledged that he or she signed the above/attached document.

(seal)



**Notary Public** 



## **BILL OF SALE**

Received this 14<sup>th</sup> day of March 2019 the sum of \$7,200.00 paid by Wacey Austin Barta for State of Arizona Department of Liquor Licenses and Control Alcoholic Beverage License Number 06020033.

Sara Jill Adams, Agent on behalf of the City of Sierra Vista Arizona

Sara / 11 Alams

NOTA COOL STATE



### STATE OF ARIZONA DEPARTMENT OF LIQUOR LICENSES AND CONTROL

Douglas A. Ducey
GOVERNOR

John Cocca DIRECTOR

April 13, 2021

Mr. Wacey Barta Wheretheybuck@gmail.com

Dear Mr. Barta,

You have corresponded with the Arizona Department of Liquor Licenses and Control ("Department") with regard to extending the nonuse status of Liquor License No. 06020033. We are in receipt of your email dated April 6, 2021, requesting an extension of time for inactivity prior to reversion. Based on the information you provided in the correspondence, the Department will be granting an extension of nonuse for "good cause."

A search of our records indicate the aforementioned license was scheduled to revert to the State of Arizona at the end of business on June 30, 2021. Please note the Department will be granting an extension since the request was made prior to the reversion date/time. The license is extended for a period of one year until June 30, 2022. This is the sixth request for this license.

As a reminder, reversion of a license for nonuse occurs automatically. Ariz. State Liquor Bd. v. Poulos, 112 Ariz. 119, 121 (1975); AG Op. I90-51. To prevent reversion, it is incumbent upon the record owner(s) or those claiming an interest in the license to request an extension from the Department prior to the passing of 36 months of inactivity. Pursuant to A.R.S. § 4-203(G), this license will automatically revert to the State of Arizona due to a period of continuous nonuse in excess of 36 months unless an application for transfer is submitted on or before June 30, 2022, or extended for good cause prior to this date. Inactive fees will not be waived and will continue to accumulate and will have to be paid prior to activation.

We trust that this response informs you of our position on this matter.

Sincerely,

John Cocca

STATE OF ARIZONA

## DEPARTMENT OF LIQUOR LICENSES AND CONTROL

ALCOHOLIC BEVERAGE LICENSE

# lcense 06020033

Issue Date: 1/11/2022

Expiration Date: 6/30/2022

CITY OF SIERRA VISTA, Owner SARA JILL ADAMS, Agent Issued To:

SPORTS GALLERY BAR & GRILL SIERRA VISTA, AZ 85635 3514 KINGS COURT

Mailing Address:





POST THIS LICENSE IN A CONSPICUOUS PLACE

21 DEC 6 Ligr. Lic. PM 2:16



Arizona Department of Liquor Licenses and Control 800 W Washington 5<sup>th</sup> Floor Phoenix, AZ 85007-2934 www.azliquor.gov (602) 542-5141

### **QUESTIONNAIRE**

A.R.S.§4-202, 4-210 Type or Print with Black Ink

The fees allowed by R19-1-102 will be charged for all dishonored checks.

805/18

<u>ATTENTION APPLICANT</u>: This is a legally binding document. Please type or print in <u>black ink</u>. An investigation of your background will be conducted. Incomplete applications will not be accepted. False or misleading answers may result in the denial or revocation of a license or permit and could result in criminal prosecution.

Attention local governments: Social security and birth date information is confidential. This information may be given to law enforcement agencies for background checks only. QUESTIONNAIRE IS TO BE COMPLETED BY EACH CONTROLLING PERSON, AGENT AND MANAGER BEING DISCLOSED TO THE DEPARTMENT. EACH PERSON COMPLETING THIS FORM MUST SUBMIT A BLUE OR BLACK LINED FINGERPRINT CARD ALONG WITH A \$22 FEE. FINGERPRINTS MUST BE DONE BY A LAW ENFORCEMENT AGENCY OR BONA FIDE FINGERPRINT SERVICE. FOR AN ADDITIONAL \$13 FEE, FINGERPRINTS MAY BE DONE AT THE DEPARTMENT OF LIQUOR WHEN ACCOMPANIED BY A COMPLETED APPLICATION. Liquor License#: 1. Check the **Appropriate** Box Controlling Person Agent **Premises Manager** (complete all questions except #12) Driver License# 3. Social Security # S.A Height: 63 Weight: 200 Eyes: BL Hair: BR 5. Name of current/most recent spouse: 6. Are you a bona fide resident of Arizona? \*\* Yes \\_No If yes, what is your date of residency: \\_A 9. Business Location Address: 10. List your employment or type of business during the past five (5) years. If unemployed, retired, or student, list residence address. EMPLOYERS NAME OR NAME OF BUSINESS FROM (Street Address, City, State & Zip) CURRENT

(ATTACH ADDITIONAL SHEET IF NECESSARY)

11. Provide yo	ur residence a	ddress informa	ation for the I	ast five (5) y	ears: A.R	.S. §4-202(D)		
FROM Month/Year	TO Month/Year				RESIDENTIA	L Street Address	*21 DEC 6 L	iqr. Lic. PM 2 116
61,20	CURRENT	2218	E, Fair	mount	+	Phoening	LAZ,	
65 15	12/19	3339	West	Lucia	Dr.	Ne Pho	enix, AZ,	
			(ATTACH	ADDITIONAL SH	IEET IF NECE	SSARY)		
	olling Person o vered YES, ther				and oper	rating the lice	nsed premises?	¥Yes□N
13. Have you o	attended a DL	LC approved	Basic & Man	agement Lic	quor Law	Training Cour	se within the past 3	Yes <b>X</b> N
14. Have you k law or ordi	peen <u>cited, an</u> nance, regard	ested, indicte less of the disp	<u>d, convicted</u> oosition, ever	l <u>, or summor</u> n if dismissec	<u>ned</u> into d d or expui	court for violatinged, within the	rion of <u>ANY</u> crimina ne past five (5) yea	al <b>∑</b> Yes⊡N
	<u>ANY</u> administro s <u>pending</u> ago						arrests, indictments 210	or □Yes☑N
16. Has anyon	e <u>EVER</u> obtaine	ed a judgeme	ent against yc	ou the subje	ct of whic	ch involved <u>fro</u>	aud or misrepresen	<u>tation</u> ?
	nad a liquor ap ast five years?			ed, denied,	revoked	or suspended	in or outside of Ariz	zona Yes
	tity in which yo evoked or susp						or license rejected S.§4-202(D)	Yes ✓V
		ive complete	details includ	ding dates, o	agencies		5	ı <u>t</u> .
				NOTAR	· · · · · · · · · · · · · · · · · · ·			
l (Print Full Na Premises M correct an	,	his application the best of h	n. I have reading knowledge	h	ereby de	eclare that I a verify the cor	m the Agent/ Con Itents and all state	trolling Person / ments are true,
Signature:	ission Expires o	n: //	3-19-à	State 8024	The fore	going instrume  Day of	ounty of <u>Maxi</u> nt was acknowledge <u>Morth</u>	
	(-1:-3)	TERI WOMA Try Public, State of Maricopa Cour Commission # 56 Y Commission I	of Arizonas nty 95677 Expires			Ilri	Womac ignature of Notary	k_
The Lice	nsee has auth	norized the pe	erson named	d on this que	estionnai	re to act as n	nanager for the a	pove license.

1/11/2018

### Arizona Department of Liquor Licenses and Control Questionnaire

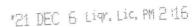
Response for Question 14

I was arrested for a D.U.I. charge in September of 2018. I subsequently completed all courses and classes and paid all fines involved with it, fulfilling my obligations and requirements from the charge.

Wacey Barta

Signature:

11-29-21





### State of Arizona Department of Liquor Licenses and Control 800 W. Washington 5<sup>th</sup> Floor Phoenix, AZ 85007 (602) 542-5141

### ARIZONA STATEMENT OF CITIZENSHIP OR ALIEN STATUS FOR STATE PUBLIC BENEFITS

Title IV of the federal Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (the "Act"), 8 U.S.C. § 1621, provides that, with certain exceptions, only United States citizens, United States non-citizen nationals, non-exempt "qualified aliens" (and sometimes only particular categories of qualified aliens), nonimmigrant, and certain aliens paroled into the United States are eligible to receive state, or local public benefits. With certain exceptions, a professional license and commercial license issued by a State agency is a State public benefit.

Arizona Revised Statutes § 41-1080 requires, in general, that a person applying for a license must submit documentation to the license agency that satisfactorily demonstrates the applicant's presence in the United States is authorized under federal law.

Directions: All applicants must complete Sections I, II, and IV. Applicants who are not U.S. citizens or nationals must also complete Section III.

Submit this completed form and a copy of one or more document(s) from the attached "Evidence of U.S. Citizenship, U.S. National Status, or Alien Status" with your application for license or renewal. If the document you submit does not contain a photograph, you must also provide a government issued document that contains your photograph. You must submit supporting legal documentation (i.e. marriage certificate) if the name on your evidence is not the same as your current legal name.

SECTION I – APPLICANT INFORMATION			
INDIVIDUAL OWNER/AGENT NAME (Print or type) Wacey Barta			
SECTION II – CITIZENSHIP OR NATIONAL STATUS DECLARATION			
Are you a citizen or national of the United States? No  If <b>Yes</b> , indicate place of birth:			
City Junction City State (or equivalent) Kansas Country or Territory U.S.			
If you answered Yes, 1) Attach a legible copy of a document from the attached list.			
2) Name of document: Arizona Drivers License Go to Section IV.			

If you answered **No**, you must complete Section III and IV.

### SECTION III - ALIEN STATUS DECLARATION DEC & Light Lic. PM 2:16

To be completed by applicants who are not citizens or nationals of the United States. Please indicate alien status by checking the appropriate box. Attach a legible copy of a document from the attached list or other document as evidence of your status.

### Arizona Dravers License Name of document provided

Qualified	Alien Status (8 U.S.C.§§ 1621(a)(1),-1641(b) and (c))
1.	An alien lawfully admitted for permanent residence under the Immigration and Nationality Act (INA)
2.	An alien who is granted asylum under Section 208 of the INA.
3.	A refugee admitted to the United States under Section 207 of the INA.
4.	An alien paroled into the United States for at least one year under Section 212(d)(5) of the INA.
5.	An alien whose deportation is being withheld under Section 243(h) of the INA.
6.	An alien granted conditional entry under Section 203(a)(7) of the INA as in effect prior to April 1, 1980.
7.	An alien who is a Cuban/Haitian entrant.
8. the Uni	An alien who has, or whose child or child's parent is a "battered alien" or an alien subject to extreme cruelty in ted States.
Nonimmi	grant Status (8 U.S.C. § 1621(a)(2))
9.	A nonimmigrant under the Immigration and Nationality Act [8 U.S.C § 1101 et seq.] Non immigrants are persons who have temporary status for a specific purpose. See 8 U.S.C § 1101(a)(15).
Alien Pard	pled into the United States for Less Than One Year (8 U.S.C. § 1621(a)(3))
10.	An alien paroled into the United States for <u>less than one year</u> under Section 212(d)(5) of the INA
Other Per	sons (8 U.S.C § 1621(c)(2)(A) and (C)
11.	A nonimmigrant whose visa for entry is related to employment in the United States, or
12.	A citizen of a freely associated state, if section 141 of the applicable compact of free association approved in Public Law 99-239 or 99-658 (or a successor provision) is in effect [Freely Associated States include the Republic of the Marshall Islands, Republic of Palau and the Federate States of Micronesia, 48 U.S.C. § 1901 $etsq.$ ];
13.	A foreign national not physically present in the United States.
Otherwise	e Lawfully Present
14.	A person not described in categories 1-13 who is otherwise lawfully present in the United States.
	PLEASE NOTE: The federal Personal Responsibility and Work Opportunity Reconciliation Act may make persons who fall into this category ineligible for licensure. See 8 U.S.C. § 1621(a).

### SECTION IV - DECLARATION

\*21 DEC 6 Ligr. Lic. PM 2 116

All applicants must complete this section.

I declare under penalty of perjury under the laws of the state of Arizona that the answers and evidence I have given are true and correct to the best of my knowledge.

Individual Owner/Agent Printed Name

Individual Owner Agent Signature

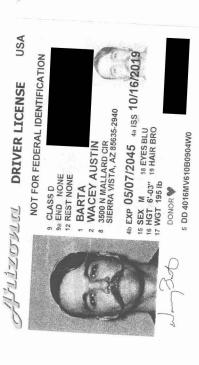
Today's Date

### EVIDENCE OF U.S. CITIZENSHIP, U.S. NATIONAL STATUS, OR ALIEN STATUS

You must submit supporting legal documentation (i.e. marriage certificate) if the name on your evidence is not the same as your current legal name.

### Evidence showing authorized presence in the United State includes the following:

- 1. An Arizona driver license issued after 1996 or an Arizona non-operating identification card.
- 2. A driver license issued by a state that verifies lawful presence in the United States.
- 3. A birth certificate or delayed birth certificate showing birth in one of the 50 states, the District of Columbia, Puerto Rico (on or after January 13, 1941), Guam, the U.S. Virgin Islands (on or after January 17, 1917), American Samoa, or the Northern Mariana Islands (on or after November 4, 1986, Northern Mariana Islands local time)
- 4. A United States certificate of birth abroad.
- 5. A United States passport. \*\*\*Passport must be signed\*\*\*
- 6. A foreign passport with a United States visa.
- 7. An I-94 form with a photograph.
- 8. A United States citizenship and immigration services employment authorization document or refugee travel document.
- 9. A United States certificate of naturalization.
- 10. A United States certificate of citizenship.
- 11. A tribal certificate of Indian blood.
- 12. A tribal or bureau of Indian affairs affidavit of birth.
- 13. Any other license that is issued by the federal government, any other state government, an agency of this state or a political subdivision of this state that requires proof of citizenship or lawful alien status before issuing the license.



RESTRICTIONS:

CLASS: D-Operator ENDORSEMENTS: None

### Sierra Vista City Council Meeting Minutes January 13, 2022

Mayor Mueller called the January 13, 2022, City Council Regular Meeting to order at 5:00 p.m., City Hall Council Chambers, 1011 N. Coronado Drive, Sierra Vista, Arizona.

### Roll Call:

Mayor Rick Mueller – present
Mayor Pro Tem Rachel Gray – present
Council Member William Benning – present
Council Member Gregory Johnson - present
Council Member Angelica Landry – present
Council Member Mark Rodriguez - present
Council Member Carolyn Umphrey - present

### Others Present:

Chuck Potucek, City Manager
Victoria Yarbrough, Assistant City Manager
Adam Thrasher, Police Chief
Brad Dever, Deputy Fire Chief
Sharon Flissar, Public Works Director
Laura Wilson, Parks, Recreation and Library Director
Matt McLachlan, Community Development Director
Irene Zuniga, Capital Improvements and Development Manager
Tony Boone, Economic Development Manager
Dianna Cameron, Management Analyst
David Felix, Chief Finance Officer
Judy Hector, Marketing and Communications Manager
Nathan J. Williams, City Attorney
Jill Adams, City Clerk

Invocation – Pastor Chuck Carlson, Sierra Vista Community Church, led everyone present in prayer.

Pledge of Allegiance - Council Member Benning led the Pledge of Allegiance.

### Item 1 Acceptance of the Agenda

Mayor Pro Tem Gray moved that the agenda for the Regular City Council Meeting of January 13, 2022, be approved. Council Member Umphrey seconded the motion. The motion unanimously carried, 7/0. Mayor Mueller, Mayor Pro Tem Gray, Council Members Benning, Johnson, Landry, Rodriguez, and Umphrey voting in favor.

### Awards and Presentations

Mayor Mueller read a Proclamation declaring January 17, 2022, Dr. Martin Luther King Jr. Day and presented it to Reverend McCaw and the National Association for the Advancement of Colored People (NAACP).

Reverend McCaw voiced his appreciation for the proclamation and stated that the National Association for the Advancement of Colored People (NAACP) will not have a parade this year in Sierra Vista because Martin Luther King, III will be in the state; therefore, they are going to be in Phoenix to participate in a march with him on Saturday, January 15, 2022 and Monday, January 17, 2022. However, Sierra Vista citizens can provide a day of service on Saturday, January 15, 2022 at 1:00 p.m. by joining the NAACP youth along with PPEP TEC High School students with their cleanup of Martin Luther King Boulevard next to the park

Presentation: Retirement of Series 2017 Bonds - Mr. Potucek stated it is not very often that Council gets to do something like this, but it is a matter of historical significance for the community. He explained that earlier in the month, Mr. Felix, Chief Financial Officer, submitted the last payment on debt service of bonds that were issued in 2008, originally \$38 million worth that were retired. Several things were accomplished with those bonds on behalf of the community, i.e., the police station expansion, Fire Station Number III and all the drainage improvements surrounding that, the City's tower Number III engine, the Nancy J Brua Animal Control Facility, the Parks Maintenance Facility behind the Pedro Castro Center, Cyr Center Park, and the refinancing of the Cove and the Pedro Castro Maintenance Center, and it was through the auspices of the good taxpayers of Sierra Vista, that they have now been paid for about a year and a half early. This will free up over \$1,000,000 a year that has been going towards that debt service that will certainly help the City with projects, i.e., the Parks Plan, the payments that must be made towards the Public Safety Retirement System as well as the City's street maintenance, an ever-increasing expense to the City. Lastly, he stated that a lot of good is going to come out of this in the future and it is nice to have more budget flexibility because of this.

Mayor Mueller stated that paying off this much money early is a great impact to a City whose average budget for the last five years has been a little over \$80 million. This is a significant amount of money, and there is going to be money available every year going forward with a continued impact. It is due the efficient financial management of primarily the City's Management Team, Mr. Potucek, Ms. Yarbrough, and Mr. Felix and his team. These are funds that were spent on necessary items that added to the quality of life, took care of animals, and several other physical needs, i.e., buildings that were needed for a long time. This makes the community better and bodes well for the future. In closing, he stated that he is going to take copies of the documents, because the original documents have been filed properly, and tear them up. He noted that at one point the City had \$44 million in debt, and now the City is down to just a couple, which was over a period where the City was going through a recession. He congratulated the citizens of Sierra Vista, City's Management Team, and the current Council and prior Council Members.

City Manager's Report: Mr. Potucek announced that the next Council work session will be held on February 8, 2022 at 3:00 p.m. in Council Chambers, and the City Council Meeting will be on February 10, 2022 at 5:00 p.m. also in Council Chambers. The meetings currently scheduled for the end of January are postponed until that time. He reminded everyone that City offices will be closed in observance of the Martin Luther King Junior Holiday on Monday, January 17, 2022, which will result in refuse service for Monday and Tuesday to be switched to Tuesday and Wednesday. He also reported that he attended the SEACOM JPA Board Meeting where they voted to increase the salary levels of dispatchers. SEACOM has been running very short on dispatchers and the market survey found that SEACOM is about six percent below its competitors around the State for dispatch services; therefore, the Board went ahead and voted that the increase take effect immediately for those people because currently SEACOM is about 50 percent staffing, and hopefully this will be something that can help with recruitment. He

reported on the November sales tax receipts that came in over nine percent above last year's budget. The City is running about 10 percent over last year's budget, a significant increase. He also reported that the Bid for the Avenida Escuela Extension and Charleston Road Pavement Replacement was posted on January 7, 2022 and bids are due approximately six weeks after, around the middle of February. The Design Engineering Services for Animal Control Center Improvement and Expansion Project received two qualifications, and those are being evaluated. KE&G started work approximately November 8, 2021 with an estimated completion date of February 2022 for the Municipal Airport Redevelopment Project (dirt pile being removed).

Council Member Umphrey asked about the City's airport sign. Mr. Potucek stated that installation is scheduled to begin within the next couple of weeks.

Council Member Umphrey asked about the percentage increase for SEACOM dispatchers. Mr. Potucek stated that currently they are looking at six percent to bring them to the market level of the City's competitor communities around the State. He is also looking at a similar raise for the other City employees in next year's budget. He added that he has asked staff to start evaluating and analyzing those budget impacts so that they can have those recommendations to Council during the mid-year budget review in February.

### Consent Agenda

<u>Item 2.1</u> Discussion and Possible Action of the Regular City Council Meeting Minutes of December 9, 2021

<u>Item 2.2</u> Discussion and Possible Action of the Special City Council Meeting Minutes of December 16, 2021

<u>Item 2.3</u> Discussion and Possible Action of Resolution 2022-001, Reappointment of John Moreno to the Parks and Recreation Commission, said term to expire December 31, 2023

<u>Item 2.4</u> Discussion and Possible Action of Resolution 2022-002, Utility Easement for Sulphur Springs Electric at the new Emergency Medical Services Station within Tompkins Park

Council Member Umphrey moved that the Consent Agenda consisting of the Regular City Council Meeting Minutes of December 9, 2021, Special City Council Meeting Minutes of December 16, 2021, Resolution 2022-001, reappointment of John Moreno to the Parks and Recreation Commission, said term to expire December 31, 2023, and Resolution 2022-002, Utility Easement for Sulphur Springs Electric at the new Emergency Medical Services Station within Tompkins Park, be approved. Council Member Rodriguez seconded the motion. The motion unanimously carried, 7/0. Mayor Mueller, Mayor Pro Tem Gray, Council Members Benning, Johnson, Landry, Rodriguez, and Umphrey voting in favor.

Council Member Benning thanked Mr. Moreno, who has great ideas and brings a lot to the table, for stepping up to the plate and continuing to serve on the Parks and Recreation Commission.

Mayor Mueller announced that the City has several commissions that have vacancies and encouraged the audience to get involved in city government by going onto the City's website and applying to serve on a commission.

**New Business** 

<u>Item 3</u> Discussion and Possible Action of Ordinance 2022-001, Amendments to Chapter 30 of the City Code of Ordinances

Council Member Landry moved that Ordinance 2022-001, amendments to Chapter 30 of the City Code of Ordinances, be approved. Council Member Benning seconded the motion.

Ms. Adams stated that as discussed during the work session on Tuesday, January 11, 2022, the proposed item is the final step in the process that started during the Council Meeting on November 18, 2021 to update the section of the City Code that specifically relates to Mayor and Council, Chapter 30 of the City Code. The changes that are referenced in this document are exactly what was presented and approved by Council in November. These amendments bring the language up to current date and practice regarding meetings, smoking at meetings, other minor or major changes i.e., wording to the effect of the appointment of the Mayor Pro tem. Going forward the appointment will be done by resolution because it was not previously specified. Another amendment was to the language relating to Council's review of their salaries, which will be mandatory every four years, with the next review scheduled during the fall of 2025. If the proposed ordinance is approved, it will go into effect in 30-days and will be codified with the other ordinances passed during the last year sometime in the spring.

Mayor Mueller noted that this something that Council must do periodically, and it must be precise language, and understandable to the public, and more challengingly it must be understandable to everybody who is on Council. He thanked Ms. Adams for her good work.

The motion unanimously carried, 7/0. Mayor Mueller, Mayor Pro Tem Gray, Council Members Benning, Johnson, Landry, Rodriguez, and Umphrey voting in favor.

<u>Item 4</u> Discussion and Possible Action of Resolution 2022-003, Arizona State Parks and Trails Land and Water Conservation Fund (LWCF) Grant Acceptance and Support

Council Member Johnson moved that Resolution 2022-003, acceptance, and support of the Arizona State Parks and Trails Land and Water Conservation Fund Grant, be approved. Council Member Umphrey seconded the motion.

Ms. Zuniga stated that last fall, Council approved a Master Plan to invest \$40 million at City parks over the next 10 years. The City submitted a grant application to the Arizona State Parks Land and Water Conservation Grant Program, requesting \$1.5 million to invest at Veterans Memorial Park and Sports Complex. The City's request for the grant was approved and the City will be installing a 150,000-gallon storage tank for water and irrigation, a booster station inside the steel building, well site piping, fencing, a new well motor and pump, electrical service upgrades, installation of a new irrigation main line to feed the sports field complex located at the old Rothery Centre, as well as artificial turf installed along with water cannons at the football field, and the reorientation of the softball fields that will include site grading and sand-based installation. The estimated cost of the project is \$3 million and the grant award of \$1.5 million will require a City match of \$1.5 million along with a six percent surcharge, which is \$90,000 of that money. The total City match would be \$1.5 million, which is already covered in the budget. The agenda item will formally accept the grant from Arizona State Parks.

Council Member Rodriguez stated that he is thankful that the City was involved in grants like this, and that the City has employees that are passionate about making the City better and submitting these grants. He added that this grant worked out very well for the City, which will save some money and give some nice improvements that are much needed. Lastly, he stated

that the is looking forward to seeing the football field being used again because it is rare for a city to have a municipal football field of their own.

Mayor Mueller thanked staff and stated that these improvements will also have an impact on attracting sports tourism, which helps the City's bottom line and helps to pay for that \$1.5 million share. It is a win/win as far as he is concerned.

Council Member Benning echoed everything said by Council Member Rodriguez and Mayor Mueller. He added that a couple months ago the Council passed the Parks Master Plan which was amazing and noted that the City is already approving something that is going to check a mark off that that Master Plan, and it goes towards that quality of life that the Council is trying to provide for the citizens of Sierra Vista.

The motion unanimously carried, 7/0. Mayor Mueller, Mayor Pro Tem Gray, Council Members Benning, Johnson, Landry, Rodriguez, and Umphrey voting in favor.

Call to the Public – There was no response.

Comments and Requests of the Council

Council Member Umphrey had no comments.

Council Member Landry wished everybody a Happy New Year and a wonderful 2022 and stated that there are going to be a lot of great things that are going to happen. She announced that registration is open for the Sky Island Summit Challenge held over Memorial Day weekend, and people can do one to three summits at Carr Peak, Miller Peak and Scott Trail. Afterwards there is going to be a festival in the park for extra fun. In closing, she stated that at the beginning of the year, she thinks that it is always great to take time for self-reflection, mental health and going forward. She encouraged people to reach out to friends and family if they need to share anything and to be there for each other. Lastly, she stated that if people need a friend, they should check out the animal shelter because they have good boys and girls that would love to be a part of a family.

Council Member Rodriguez thanked everybody that is in the City working on everything currently happening, i.e., the West End improvements. He encouraged people to go to the West End and frequent the businesses there and check it out because it looks a lot different than last year. He noted that Fire Station Number Four is being put up on the corner of 7th Street and Buffalo Soldier Trail, which is through another federal grant that has a timeline; therefore, it must be completed by April. Lastly, he asked people to not only enjoy their holiday weekend, but to remember the significance of the Holiday and to use this day to do some active service.

Council Member Benning thanked John Moreno for stepping up to serve on the Parks and Recreation Commission and shared that he recently attended a pottery class by Mollie Shelton at the Oscar Yrun Community Center. He encouraged people to check out the Pottery Program, where the City provides the clay, and all one must pay is \$10. Ms. Shelton is also going to teach embroidery next month. He thanked Pastor Chuck for his blessing and noted that he stated in his blessing that this is the first meeting of the new year, a new beginning. He noted that this is the City's new beginning, and he is excited about 2022 with what is going on in the West End, Veterans Memorial Park, the things that nonprofits, and businesses are doing. Lastly, he mentioned that Mr. Boone stated that words have meaning when looking around the City and describing it. Therefore, he asked people not to always look for the negative, and to start looking

at the positive of all that is going on. In closing, he stated that Martin Luther King had a dream, a vision and he always put his foot forward and did things, and when he looks around Sierra Vista and all the good that the community does as a whole, it reminds him of one of Martin Luther King's quotes, "I cannot do great things, I can do small things in a great way", which reminds him of Sierra Vista because everyone on Council, staff, the audience, might not be the best or the greatest, but everything that is done, they can be great at. Sierra Vista is diverse, everyone coming from different worlds, but coming together to get things done.

Council Member Johnson had no comments.

Mayor Pro Tem Gray echoed what everyone else said before her, and stated that she looks around the City, the West End, the grants, i.e., the one that Council approved during the meeting, the EMS substation, getting the airport site ready for a business to come in, retiring the debt, which was a massive fixing that they did, and she can truly say that she has never been more proud to be a part of Sierra Vista and a part of the decisions that were made to make all that happen. She thanked Council and the previous Councils, and staff.

Mayor Mueller echoed Mayor Pro Tem's comments and stated that it sounds like Council is patting themselves on the back, but there is still work to be done. He further stated that in February or early March, Council will be reviewing its two-year Strategic Plan and potentially making changes or adjustments, and in 2024, the Council during the election timeframe is required to bring to the public their next General Plan. This is the plan that Council will use that is now required by the State that focuses on where they want the City to go in the next 10 years. There is a public process associated with this and Council's Strategic Plan, which is done every two years that feeds into that. These two plans are one of the reasons that there has been progress regarding the City's critical needs. He recommended to Council Members that they continue to do this on a regular basis. He also recommended to the citizens that when they see a notice for a public meeting on the General Plan that they think about what they would like to see in the City and that they attend the meetings so that they can participate and have a say so that their vision is included in the in the future of the City. In closing, he reminded people to be safe, especially if they are traveling during the three-day weekend.

Council Member Benning announced the McFadden Park dedication will take place on January 22, 2022 at 3:00 p.m. at 100 S Carmichael.

Council Member Rodriguez thanked everyone for attending the meeting and noted that due to COVID there have been Council meetings where it was sparse out in the gallery.

### Adjournment

Mayor Muell	er adjourned the	e January 13, 20	022 meeting of t	he Sierra Vista	a City Council at 5:35
p.m.					

	Frederick W. Mueller, Mayor
Minutes prepared by:	Attest:
Maria G. Marsh, Deputy Clerk	Jill Adams, City Clerk