



CDBG Grant No: _____
City Project No. _____
Project Name: _____
Pre-Conference Meeting: _____

CDBG SUBCONTRACTOR'S CERTIFICATION CONCERNING LABOR STANDARDS AND PREVAILING WAGE REQUIREMENTS

1. I, the undersigned, having submitted a bid to or having executed a contract with

(contractor or subcontractor): _____

for (name of project): _____

for (nature of work): _____

in the amount of \$ _____ certify that:

a. The Labor Standards Provisions, (HUD 4010), are included in the aforementioned contract or bid:

b. Wage Decision _____
Modification # _____
Bid Open Date _____

c. The correction of any infractions of the aforesaid conditions, including infractions by any of my subcontractors and any lower tier subcontractors, is my responsibility.

2. I hereby certify that:

a. To the best of my knowledge, neither I nor any firm, corporation, partnership or association in which I have a substantial interest is designated as an ineligible contractor by the Comptroller General of the United States pursuant to Section 5.6(b) of the Regulations of the Secretary of Labor, Part 5, (29 CFR. Part 5) or pursuant to Section 3(a) of the Davis-Bacon Act, as amended [40 U.S.C. 276a-2(a)]. _____ (please initial)

- b. No part of the aforementioned contract has been or will be subcontracted to any subcontractor, if such subcontractor or firm, corporation, partnership or association in which such subcontractor has a substantial interest is, to the best of my knowledge, been designated as an ineligible contractor pursuant to the aforesaid regulatory or statutory provisions. _____ (please initial)
3. I agree to obtain and forward to the contractor, for transmittal to the grantee prior to or within ten days after the execution of any lower subcontract, a Subcontractor's Certification concerning Labor Standards and Prevailing Wage Requirements, LS 3, executed by the lower tiered subcontractor.
_____ (please initial)
4. I hereby acknowledge that I am aware that should I sign a subcontract with a subcontractor or should that subcontractor sign a contract with a lower tiered subcontractor who is found to be ineligible to receive federal funds, I shall subtract such costs from the amount I will bill the grantee. _____ (please initial)
5. I further certify that:
- a. The demographics and business information of the undersigned are:

Contractor Information								
Amount of Contract	Type of Trade Code*	Racial Code*	Hispanic Y/N	Women Owned	IRS Tax ID #	Section 3 (Y/N)	Construction Firm Legal Name, Address, City, State, Zip	AZ License No.
\$								

*See Demographic and Trade Code table below for information

Demographic and Trade Codes	
Race	Type of Trade Code
11 - White	1- New Construction
12 - African American	2 - Substantial Rehab
13 - Asian	3 - Repair
14 - American Indian or Alaskan Native	4 - Service
15 - Native Hawaiian or other Pacific Islander	5- Project Management
16- American Indian or Alaskan Native and White	6 - Professional
17- Asian and White	7 - Tenant Services
18- African American and White	8 - Education Training
19 - American Indian or Alaskan Native and White	9 - Architecture/Engr.
20 - Other Multi-racial	10 - Other

- b. The undersigned is:
- ☐ a sole proprietorship;
- ☐ a partnership;
- ☐ a corporation organized in the State of _____ ;or
- ☐ another organization (describe)_____

- c. The name, title and address of the owners, partners or officers of the undersigned are (please list any other legal names/doing business as (dba):

NAME	TITLE	ADDRESS

- d. The names and addresses of all other persons, both natural and corporate, having a substantial interest in the undersigned, and the nature of the interest, are (Indicate if none)

NAME	ADDRESS	NATURE OF INTEREST

- e. The names, addresses and trade classifications of all other building construction contractors in which the undersigned has a substantial interest are (Indicate if none):

NAME	ADDRESS	TRADE CLASSIFICATION

6. I hereby certify that I have the legal authority to complete and submit this document on behalf of:

- a. Name of Contractor: _____
- b. Signature (**in ink**): _____
- c. Type or Printed Name: _____
- d. Title: _____
- e. Date: _____

WARNING: U.S. Criminal Code, Section 1010, Title 18, U.S.C. provides in part: "Whoever...makes, passes, utters or publishes any statement, knowing the same to be false...shall be fined not more than \$5,000 or imprisoned not more than two years, or both."

City Use Only

The contractor is eligible to participate in the CDBG funded construction project: Yes ☐ No ☐

Comments: _____

Person making this determination (typed or printed name): _____

Signature _____ Date: _____

Date grantee or CDBG Program notified of determination: _____

Grantee or CDBG Program notified by: Mail ☐ Fax ☐ Phone ☐ e-mail ☐